| Name Code | Center No. | Screening No. | | Date Form Co | - |
|--------------------|-----------------------|-----------------------------------|----------------------|----------------------|-----------------------------|
| | | | | Mo Day | |
| | | FORM 01 - SO | CREENING | | |
| 2. Date of Birth: | | Yr | 4 Native Ameri | acific Islander can | |
| 3. Of Hispanic O | origin: 1 Yes 2 | No | 5 Other, specify_ | | |
| | _ | <u>STIONS 5-9</u> , or a <u>Y</u> | ES response to | QUESTIONS 10 | <u>0-26,</u> |
| SUBJECT: | ON CRITERIA | | | | 1 = YES 2 = NO |
| • | _ | | | | |
| | _ | in, morphine, or hydro | _ | | |
| • | | ree bags per day), mo | | | |
| - | e last 30 days | | ipilile, or flydroin | iorphone, for at | |
| | • | tive for opiates AND | negative for meth | andone at the time | |
| | - | | - | | |
| · · | | consent AND signed t | | | |
| B. EXCLUSION | ON CRITERIA | onsent in 12 signed (| are imprimed cons | | ··················· <u></u> |
| SUBJECT: | | | | | |
| 10. Is female and | refuses to use a me | edically acceptable fo | rm of birth contro | l (listed in the pro | tocol) |
| 11. Is a pregnant | or nursing female | | | | |
| 12. Reports use of | of methadone, bupre | enorphine, or LAAM | in the past 14 day | s | |
| *NOTE: Pleas | se review Forms # | 3, 4, 5, 6, 7, 8, 10, 11 | 1, 24, 25 to deter | mine the | |
| answers to q | uestions 13-22. | | | | |
| 13. Has a history | of seizures, or has | received anticonvulsa | ant therapy during | the past 5 years | |
| 14. Has pancreati | ic disease (such as i | nsulin-dependent dia | betes) | | |
| 15. Has liver dise | ease requiring medi | cation or medical trea | tment, and/or AS | Γ or ALT levels gr | eater |
| than 5X the up | pper limit of normal | | | | |
| 16. Has gastroint | estinal or renal dise | ease which would sign | nificantly impair a | bsorption, metabo | lism |
| or excretion of | of study drug, or rec | quire medication or m | edical treatment | | |
| | | | | | |
| Form VA 10-21044 | (NR)a - August 2000 | 1 | | | V.2/20/01 |
| CSP# 1020 - Fo | orm 01, Page 2 of 3 | 3 | | | |
| Name Code | Center No. | Screening No. | Patient No. | Date For | rm Completed |

1= YES

| EXCLUSION CRITERIA (C | CONTINUED) |
|------------------------------|------------|
|------------------------------|------------|

2= NO

| 17. | Has any neurological or psychiatric disorder (assessed by SCID) including psychosis, bipolar |
|------------|--|
| | disorder, organic brain disease or other disorders which require treatment or which could |
| | make study compliance difficult. |
| 18. | Has active tuberculosis |
| 19. | Has an abnormal baseline cardiovascular exam including any clinically significant abnormal |
| | ECG (e.g., second or third degree heart block, uncontrolled arrhythmia), heart rate ≤ 45 bpm |
| | or symptomatic bradycardia, systolic blood pressure ≤ 90 mmHg or symptomatic hypotension, |
| | unmedicated BP ≥ 160/100 or a prior history of significant myocardial infarction. |
| 20. | Requires any of the following medications: psychotropics (including sedative/hypnotics, |
| | antidepressants, neuroleptics), prescription analgesics, anticonvulsants, antihypertensives, |
| | antiarrhythmics, antiretroviral medications (current or within the past 4 weeks) |
| 21. | Has current dependence (by DSM-IV criteria) on any psychoactive substance requiring |
| | detoxification other than heroin, morphine, hydromorphone, cocaine, caffeine, or nicotine |
| 22. | Is symptomatic for AIDS and has a CD4 counts ≤ 200 |
| 23. | Has donated blood within the last 8 weeks |
| 24. | Has participated in an investigational drug study within the past 3 months |
| | Has such "poor" veins that even single venipunctures cannot be obtained in the beginning |
| | of the protocol |
| 26. | Became oversedated from the first dose of morphine sulfate 25 mg s.c. on |
| | Study Day 1 (i.e. nodding within 1 hour after dose) |
| <i>C</i> . | ENROLLMENT STATUS |
| SUE | BJECT: |
| | Signed informed consent and was entered into study: MoDayYr |
| | Was randomized into the Lofexidine or Placebo phase? 1 Yes 2 No |
| | A. If not randomized, please check reason below and complete end of study Form 31 |
| | 1 Failed to meet inclusion or exclusion criteria listed above. |
| | 2 Declined study participation (Specify): |
| | |
| _ | |
| Forn | n VA 10-21044(NR)a - August 2000 |
| | |
| CSI | P# 1020 - Form 01, Page 3 of 3 |
| | ne Code Center No. Screening No. Patient No. Date Form Completed _ |
| | |
| | Mo Day Year |

ENROLLMENT STATUS (CONTINUED)

28. Continued:

| 3 (Specify):_ | _ | Administrative | Discharge |
|------------------|-------|----------------|-----------|
| 4 | Death | | |
| 5 (Specify) | _ | | Other |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

PHYSICIAN'S SIGNATURE______ Date_____ Form VA 10-21044(NR)a - August 2000

FORM COMPLETED BY ______ Date_____

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| | Name Code Center No. | ame Code Center No. Screening No Patient No. Assessment Date | | Date | |
|----|--|--|-----------------------------|--------------------|-------------------------|
| | | 5 | _1 | // | / |
| | | | | Mo Day | |
| | | FORM 02 - | OPIATE SCRE | ENING | |
| | art A - Participant | | | | |
| In | nstructions to Participants: Pl | lease check the box | or boxes that mo | ost closely agree | with your answer. |
| 1. | Did you use heroin, morphine 1=Yes 2=No | | e during the past | 30 days? | |
| | A. If yes, which of these opiat 1 Heroin 2 Morphine 3 Hydromorpho | • | neck all that appl | y. | |
| 2. | During the past 30 days, how 1intravenous (in to subcutaneous ("3intramuscular ("4smoked 5snorted 6oral") | the vein) injection skin pop") injection | 1 | he above opiates | ? Check all that apply. |
| 3. | . How many days in the last 30 | days have you used | d heroin, morphi | ne, or hydromorp | ohone? days |
| 4. | . If you use heroin, how many b | pags of heroin do y | ou use each day o | on average? | bags |
| 5. | . If you use morphine, estimate | the amount of mor | phine that you us | se each day. | |
| | 1 100 mg, 2 200 7 700 mg, 8 800 | mg, 3 300 n mg, 9 900 n | ng, 4 400 n ng, 10 > 1 g | mg, 5 500 | mg, 6 600 mg, |
| 6. | . If you use hydromorphone, es | timate the amount | of hydromorphor | ne that you use ea | ach day. |
| | 11 mg, 22 mg, 3 88 mg, 99 mg, 1 | 33 mg, 4 0> 10 mg | 4 mg, 5 | 5 mg, 6 6 | mg, 7 7 mg, |
| VA | A Form 10-21044(NR)b – August 2000 | | | Version 1 2/1 | 13/01 |

Revised 6/11/01

| Name Code | Center No. | Screening No. | Patient No. 1 | Assessment Date / / |
|--------------------------|-------------------|---|---|--|
| | | | · Mo | Date Year |
| | nvestigators: I | | only. Check the box se of this form in the | or boxes that most closely agree Operations Manual. |
| | | esides, based upon tl current DEA estima | | , calculate the approximate per day |
| | | | | mg heroin per day (Phil) mg heroin per day (NY) |
| C# of bags | per day X 100 | mg heroin/bag X 33 | .1% purity = | mg heroin per day (LA) |
| 8. Is the participa | ant's estimated | amount of self-admin | nistered heroin great | er than 40 mg per day? |
| | | sion of participant in participant in | <u> </u> | |
| C. Not Applicable | e: Partici | pant is dependent up | on another opiate. R | Reply to questions #09 & #10. |
| 9. Is the participan | nt's estimated a | mount of self-admin | istered morphine gr | eater than 120 mg per day? |
| A. Yes Prod | ceed with inclus | sion of participant in | the study. | |
| B. No Do n | ot include this | participant in the stu | dy. | |
| C. Not Applicable | e:Partic | ipant is dependent up | pon another opiate. R | Reply to question # 10. |
| 10. Is the participation | ant's estimated | amount of self-admi | nistered hydromorp | bhone greater than 6 mg per day? |
| A. Yes Prod | ceed with inclus | sion of participant in | the study. | |
| B. No Do n | ot include this | participant in the stu | dy. | |
| C. Not Applicable | e: Partici | pant is dependent up | on another opiate. | |
| | ter than the des | 2 | | , morphine or hydromorphone above? (E.g. 90 mg morphine plus |
| A. YesProce | ed with inclusion | on of participant in the | ne study. | |
| B. NoDo no | ot include this p | participant in the stud | ly. | |
| C. Not Applicable | e: Partici | pant in not on a com | bination of drugs. | |
| PHYSICIAN SIG | | | | Date Version 1 2/13/01 |
| VA Form 10-21044(NR) | b – August 2000 | | | Version 1 2/13/01 Revised 08/06/01 |

CSP #1020 - Form 02 - (Page 2 of 2)

| Name Cod | le Center No. | Screening No. | Patient No. | Study Day | Assessment Date |
|--------------|---------------------------|---------------|-------------|-----------|---|
| Mo Day | Yr — — | 5 | 1 | | // |
| | | FORM 0 | 3 - MEDIC | AL HISTOR | Y |
| Does pati | ent have history | | not exclude | (C | Explain or describe omment required if yes) |
| 1. Allergie | s, drug | | | | |
| 2. Allergie | s, other | | | | |
| 3. Sensitiv | ity to study med | | | | |
| 4. HEENT | Disorder | | | | |
| 5. Cardiov | ascular Disorder | | | | |
| 6. Renal D | visorder | | | | |
| 7. Hepatic | Disorder | | | | |
| 8. Pulmona | ary Disorder, asthma | | | | |
| 9. Pulmona | ary Disorder, other | | | | |
| 10. Gastroir | ntestinal Disorder | | | | |
| 11. Musculo | oskeletal Disorder | | | | |
| 12. Neurolo | gic Disorder | | | | |
| 13 Neurole | ptic Malignant Syndro | ome | | | |
| 14 Psychiat | tric Disorder | | | | |
| 15. Dermato | ologic Disorder | | | | |
| 16. Metabol | ic Disorder | | | | |
| 17. Hemato | logic Disorder | | | | |
| 18. Endocri | ne Disorder | | | | |
| 19. Genitou | rinary Disorder | | | | |
| 20. Reprodu | active Disorder | | | | |
| _ | us Disease (incl. HIV sta | tus) | | | |
| 22. Other _ | | | | | |
| 23. Other | | | | | |

| CSP #1020 - | FORM 03 - Page 2 of 2 | | | | | |
|--|---|--|-----------------------|-----------|--|--------------|
| Name Coo | de Center No. | Screening No. | Patient No. | Study Day | Assessmen | Date |
| | | 5 | 1 | | // | |
| _ | nt had any major surgery st MAJOR SURGERIES b | | 2 No | | | |
| | E OF SURGERY | (Month | SURGERY /Day/Year) | Excludes | Y RELEVANT T s, Does Not Exclude | <u>No</u> |
| В | | // | / | | | |
| D | | / | ′ <u> </u> | | | |
| SMOKING | | | | | | |
| If Yes: A 26. Has pation | tient smoke cigarettes in a verage NUMBER of cigarettes ent ever smoked cigarettes. Since the content of YEARS smoked cigarettes. | garettes/dayettes for at least one | _ | Yes 2N | No | |
| | est average NUMBER | | | | | |
| 27. Does pat If Ye A. Curro B. Aver C. Total | ient use or has patient s: ently using? age number uses per day number of years used: | used other tobacco 1. CIGAR 1_yes 2_no | 2. CHE 1_yes 2_ | W 3. | SNUFF s 2no | 1yes 2no |
| | _ years est average number uses | s per day?/ | day | /day | /day | /da |
| FORM COM | IPLETED BY Date | e | | | | |

PHYSICIAN's SIGNATURE VA Form 10-21044(NR)c - August 2000

| | Name Code | Center No. | Screening No5 | | | Assessment Date |
|-----|------------------|-----------------|--|------------------|---------|---|
| | | | EODM 04 D | Mo Day | Year | |
| 1. | Weight | lbs | FORM 04 - P | HYSICAL E. | AANI | |
| 2. | Height: | . | _ inches (comple | ete at screening | g only) | |
| | | | A. RESULTS OI 1=Abnormal, of 2=Abnormal, of 3=Normal 4=Not done | excludes | | B. VIDE DETAILS ON EACH ORMALITY BELOW. |
| 3. | Oral (mouth) | | | | | |
| 4. | HEENT (incl. t | thyroid/neck) | | _ | | |
| 5. | Cardiovascular | · | | | | |
| 6. | Pulmonary | | | | | |
| 7. | Abdomen (incl | . liver, spleen |) | _ | | |
| 8. | Extremities (in | cl status of ve | eins) | _ | | |
| 9. | Skin | | | | | |
| 10. | Neuropsychiata | ric: | | | | |
| | A. Mental Statu | us | | | | |
| | B. Sensory/Mo | tor | | | | |
| 11. | Lymph Nodes | | | | | |
| 12. | Musculoskeleta | al | | | | |
| 13. | General Appea | rance | | | | |
| 14. | Other, specify_ | | | _ | | |
| 15. | Other, specify_ | | | _ | | |
| | Other, specify_ | | | _ | | |
| | Other, specify_ | | | _ | | |
| For | m VA 10-21044(NR | d - August 200 | 0 | | | V 2/13/01 |

| oes the patient have any current an his/her addiction? 1 | Yes 2 No | |
|---|----------------------------|--------------------------------------|
| Yes, list these problems below: | | 2. <u>CURRENT</u> <u>SEVERITY</u> |
| | 1. <u>DATE OF ONSET</u> | 1=Mild 2=Moderate |
| NATURE OF PROBLEM | (Mo/Day/Yr) | 3=Severe |
| · | | |
| | - | |
| · | - | |
|) | | |
| · | - | |
| · | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Form VA 10-21044(NR)d - August 2000

| | A Phas | se 3 Placebo-Contr | rolled, Double-Blind | Multi-Site Trial of Lofe | xidine for Opiate Withdrawa | ıl | |
|--|----------------|--------------------|----------------------|--|-----------------------------------|-------------------------------------|---------------------|
| Name Code | Center No. | Screening N | | | Assessment Date | | |
| | | | | Mo l | Day Year | | |
| | | FO | RM 05 - PRI | OR MEDICAT | IONS | | |
| A. Has the patient taken | any medication | s (prescription | or over-the-counte | er) in the past 30 day | \mathbf{s} ? 1= Yes 2= 1 | No | |
| If YES, complete below. Use Make a new entry when a de | | | | | | nits and frequency. | I |
| GENERIC NAME OF MEDICATION | ROUTE | DOSE/UNIT | FREQUENCY | INDICATION List purpose for which medication is taken. | Medication Start Date (Mo/Day/Yr) | Medication End Date (Mo/ Day/Yr) | circle " contini |
| 1. | | / | | | // | // | С |
| 2. | | / | | | | // | с |
| 3. | | / | | | // | // | c |
| 4. | | / | | | // | // | c |
| 5. | | / | | | // | // | с |
| 6. | | / | | | // | // | С |
| 7. | | / | | | // | // | c |
| 8. | | / | | | | // | С |
| FORM COMPLETED BY _ | | | | | Date | | |
| PHYSICIAN'S SIGNATUR | RE | | | | Date | | - |

Form VA 10-21044(NR)e - August 2000 V. 2/14/00

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code Cente | er No. Screening No. | Patient No1 | Study Day | Date Specimen Drawn |
|--|----------------------|-------------|--|--|
| | | | | Mo Day Year |
| | FORM 06 | 6 - HEMATO | DLOGY | |
| Time specimen drawn | | | | |
| СВС | A.Value | | mal, excludes mal, does not de al | C. Comments - Provide commen for any abnormal value. |
| 2. Hemoglobin (g/dL) | | _ _ | | |
| . Hematocrit (%) | | | | |
| . RBC (M/mm ³) [^] | | | | |
| . Platelet count (K/mm³)^^ | | | | |
| 5. WBC (K/mm ³)^^ | | | | |
| . Neutrophils (%) | | | | |
| . Lymphocytes (%) | | | | |
| . Monocytes (%) | | | | |
| 0. Eosinophils (%) | | _ _ | | |
| 1. Basophils (%) | | _ _ | | |
| 2. Prothrombin Time (PT) | | sec | | |
| 3. Partial Thromboplastin Tin | ne (PTT) sec | | | |
| Equivalent units: mil/cumm; PEquivalent units: thou/cumm | | | | |
| ORM COMPLETED BY | | | Date _ | |
| HYSICIAN'S SIGNATURE _ | | | Date | |

Form VA 10-21044(NR)f - August 2000

| | _51 FORM 07 - CH | FMISTDV | Mo Day Year |
|--|--------------------------|---|---|
| 1. Time specimen drawn: | | | |
| BLOOD CHEMISTRY | A.Value | B. Evaluation: 1=Abnormal, excludes 2=Abnormal, doesn't exclude 3=Normal 9=Not done | C. Comments - Provide comments for any abnormal value. |
| 2. Sodium (mEq/L)^ | | | |
| 3. Potassium (mEq/L)^ | · | | |
| 4. Chloride (mEq/L)^ | | | |
| 5. CO2 (mEq/L)^ | | | |
| 6. Glucose (mg/dL) | | | |
| 7. Creatinine (mg/dL) | <u></u> | | |
| 8. Albumin (g/dL) | | | |
| 9. Total protein (g/dL) | | | |
| 10. Calcium (mg/dL) | · | | |
| 11. Phosphorus (mg/dL) | | | |
| 12. SGOT/AST (U/L) | | | |
| 13. SGPT/ALT (U/L) | | | |
| 14. GGT (U/L) | | | |
| 15. Total bilirubin (mg/dL) | · | | |
| 16. LDH (U/L) | | | |
| 17. Alkaline phosphatase (U/L) | | | |
| 18. BUN (mg/dL) | | | |
| 19. Uric acid (mg/dL)^^ | · | | |
| 20. Magnesium | · | | |
| 21. TSH | | | |
| 22. Free T4 | | | |
| 23. Other | | | |
| ^ Equivalent unit: mmol/L | ^^ Equivalent unit: ug/D | oL . | V.1/30/01 |
| | | | |
| FORM COMPLETED BY | | | Date |
| PHYSICIAN'S SIGNATURE Form VA 10-21044(NR)g - August 2000 | | | Date V. 1/30/01 |

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| | FORM 8 - U | • | ^z ear |
|---|---------------------------------------|---|--|
| . Time specimen collected | : | | |
| URINALYSIS | A.Value | B. Evaluation 1=Abnormal, excludes 2=Abnormal, does not exclude 3=Normal 9=Not done | C. Comments - Provide comments for any abnormal value. |
| 2. Specific gravity | · | | |
| 3. рН | | | |
| l. Bilirubin | NegTracePres | | |
| . Nitrites | NegTracePres | | |
| . Urobilinogen | NegTracePres | | |
| . Glucose | NegTracePres | ent | |
| . Protein | NegTracePres | | |
| . Ketones | AbsentTracePre | sent | |
| 0. Occult Blood | AbsentTracePre | sent | |
| Categories to rate the collowing measures check one): | None Few Mod Heavy (1-5) (6-10) (>10) | | |
| 1. WBC | | | |
| 2. RBC | | | |
| 3. Epithelial Cells | | | |
| | | | |
| ORM COMPLETED BY _ | | Date | |

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawl

Patient No.

Study Day

Date Form Completed

Screening No.

Name Code

Center No.

| | _5 | _1 | | / Mo Day : | / | |
|--|--|-------------------|-----------|---------------|-------------|----|
| FORM 09 - BI | RTH CONT | ROL/PREGN | ANCY A | SSESSN | MENT | |
| What method of birth control i | s participant cur | rently using? | | | | |
| 01 = Oral contraceptive 02 = Barrier (diaphragm of the contraction of | ant (Norplant) Frone Contracept The Acetate Contra | tive system (IUD) | Depo-Prov | era) | | |
| 07 = Hysterectomy | Record date of | procedure: Mo | Yr | | | |
| 08 = Tubal Ligation 09 = Post-menopausal | | | | | | 10 |
| = Other, specify | | | | 11 | | 10 |
| 2. Result of serum pregnancy test | 1 Positive | 2 Negative | | | | |
| A. Date Blood Specimen Coll | ected | | | Mo | Day | Yr |

FORM COMPLETED BY Date

PHYSICIAN'S SIGNATURE Date

Form VA 10-21044(NR)i - August 2000

V. 1/30/01

| | Name Code | Center No. | Screening No. | Patient I | No. Sudy | Day D | ate Form | Complete | ed |
|--------------|--------------------------------------|--|--|-------------|---|---------------|---------------|----------|-------|
| | | | 5 | 1 | | | / | _/ | |
| | | | FORM 10 - IN | FECTIOU | US DISEAS | Mo Date | Year SMENT | | |
| | | A. VALUE 1=Positive 2=Negative 3=Indeterminate | B. EVALUATIO 1=abnormal, excl 2=abnormal, does 3=normal 9=not done | ludes | C. COMME Provide comma abnormal value | ments for any | D. DAT | E BLOOD | DRAWN |
| | patitis B Surface gen (Hbs Ag) | | | _ | | | Mo | Day | Yr |
| | patitis B Surface body (Anti-HBs) | | | _ | | | | | |
| | patitis B Core body (Anti-HBc) | | | - | | | | | |
| | patitis C Virus body (HCV Ab) | | | - | | | | | |
| 5. PP | PD: | | | | | | | | |
| A. | Date of PPD test: | Mo | Day Yr | | | | | | |
| B. | Date PPD read: | Mo | Day Yr | | | | | | |
| C. | Result of PPD test | :: Posi | tiveNegativ | reIn | ndeterminate | | | | |
| D. | If PPD not done, | reason: 1 \square A | lready Positive 2 | ☐ Other, Sp | pecify | | | | |
| | If past or current | : PPD is positive, a | chest x-ray is requir | <u>·ed.</u> | | | | | |
| E. | Date of chest x-ray | y: Mo | Day Yr | | | | | | |

| F. Chest x-ray result: 1 Normal 2 Ab | bnormal, does not exclude 3 Abnormal, excludes from study entry | |
|--|---|---|
| 6. RPR : 1Reactive 2Nonreactive | Date Blood Drawn - MoDayYr | |
| 7. CD4 : | Date Blood Drawn - MoDayYr | _ |
| FORM COMPLETED BY Date | | |
| PHYSICIAN'S SIGNATURE Date Form VA 10-21044(NR)j - A | August 2000 v.1/30/01 | |

| Name Code | Center No. | - | | Study Day | Assessment Date | / |
|----------------|-----------------|------------------|------------------|--|------------------------------|----------------------|
| | | | | | Mo Day | Year |
| <u>I. SUMN</u> | | | | ICAL INTER | RVIEW (SCID) l on next page) | |
| A. AXIS | I –Substance | Abuse/Depend | lence Disorders | | | |
| 1. Do | es the particip | ant currently me | eet DSM-IV crite | eria for opiate de | pendence?1=Yes□2 | =No □ |
| | - | her substance ab | - | disorders for wh | nich the participant c | urrently |
| a.□ | | b. □□□ c | . 🗆 🗆 d. | | | |
| | | | | disorders for whi e code a. as 000. | ch the participant ha | s a lifetim e |
| 1. Please | | substance abuse/ | oendence Disord | | he participant curre | ntly meets |
| a. [| □□ b. [| □□ c. □ | □ d. □□ |] | | |
| | | | | disorders for wh | nich the participant h | nas a |
| a. [| □□ b. [| □□ c. □ | □ d. □□ |] | | |
| | | | | | | |
| Form Con | npleted By: | | | | Date: | |

SCID-I DIAGNOSIS CODES

Part A: Codes for Axis-I Substance Abuse/Dependence Disorders (If none, code as 000)

| 17A | Alcohol Abuse | 17D | Alcohol Dependence |
|-----|------------------------------------|-----|---|
| 18A | Sedative-Hypnotic-Anxiolytic Abuse | 18D | Sedative-Hypnotic-Anxiolytic Dependence |
| 19A | Cannabis Abuse | 19D | Cannabis Dependence |
| 20A | Stimulant Abuse | 20D | Stimulant Dependence |
| 21A | Opiod Abuse | 21D | Opiod Dependence |
| 22A | Cocaine Abuse | 22D | Cocaine Dependence |
| 23A | Hallucinogen/PCP Abuse | 23D | Hallucinogen/PCP Dependence |
| 24A | Poly-Drug Abuse | 24D | Poly-Drug Dependence |
| 25A | Other Abuse | 25D | Other Dependence |

Part B: Codes for Axis-I Non-Substance Abuse/Dependence Disorders (If none, code as 00)

| 01 | Bipolar I Disorder | 27 | Agoraphobia without History of Panic Disorder |
|----|---|----|---|
| 02 | Bipolar II Disorder | 28 | Social Phobia |
| 03 | Other Bipolar Disorder | 29 | Specific Phobia |
| 04 | Major Depressive Disorder | 30 | Obsessive Compulsive |
| 05 | Dysthymic Disorder | 31 | Post-traumatic Stress |
| 06 | Depressive Disorder Not Otherwise Specified | 32 | Generalized Anxiety |
| 07 | Mood Disorder Due to a General Medical Condition | 33 | Anxiety Disorder due to a General Medical Condition |
| 08 | Substance-Induced Mood Disorder | 34 | Substance Induced Anxiety Disorder |
| 09 | Schizophrenia | 35 | Anxiety Disorder Not Otherwise Specified |
| 10 | Schizophreniform Disorder | 36 | Somatization Disorder |
| 11 | Schizoaffective Disorder | 37 | Pain Disorder |
| 12 | Delusional Disorder | 38 | Undifferentiated Somatoform Disorder |
| 13 | Brief Psychotic Disorder | 39 | Hypochondriasis |
| 14 | Psychotic Disorder Due to a General Medical Condition | 40 | Body Dysmorphic |
| 15 | Substance-Induced Psychotic Disorder | 41 | Anorexia Nervosa |
| 16 | Psychotic Disorder Not Otherwise Specified | 42 | Bulemia Nervosa |
| 26 | Panic Disorder | 43 | Binge Eating Disorder |
| | | 44 | Adjustment Disorder |

| Name | Code | Center No. | = | | Study Day | Assess | ment Date | |
|-------|--------------|------------------------------|----------------------|-------------------------------------|---------------------|---------|-----------------------------|----------|
| | | | 5 Ti | 1 me Administe | | / Mo | Day Y | <u> </u> |
| | | | ED HIMMELSB | | | AL SCA | ALE (MH) | OWS) |
| INSTR | RUCTIONS: | Observe the | patient for a 10 (t | en) minute per | iod. NOT PRESENT | | PRESENT | Γ |
| 1. | Yawning | | | | | | | |
| 2. | Lacrimation | l | | | | | | |
| 3. | Rhinorrhea | (sniffs and no | ose touches) | | | | | |
| 4. | Perspiration | l | | | | | | |
| 5. | Tremor (ha | nds) | | | | | | |
| 6. | Piloerection | (Gooseflesh | - observe patient' | 's forearm) | | | | |
| 7. | Restlessness | s (frequent sh | ifts of position, fi | dgeting) | | | | |
| 8. | Appetite | Breakfast Lunch Dinner | Not Hung | gryMiningryMiningryMiningryMiningry | nal Modera | ite | Excell Excell _Excell | lent |
| 9. | Pupil | (mm) | Circle Eye R | L Pupi | l Photo Times: | 1) Hr | Min 2) | Hr Mi |
| 10. | Weight (lbs |) | _ | | _ | | | |
| 11. | Temperatur | e (F) | · | | | | | |
| 12. | Vital Signs: | Sitting: B | BP (mmHg) | / | HR(beats/min) _ | | | |
| 13. | Emesis: Re | cord on Eme | sis Tracking Form | n #14 | | | | |
| 14. | Temperatur | e of room | •F | | | | | |

| Form Completed by | |
|-------------------|--|
|-------------------|--|

VA Form – 10-21044(NR)m – August 2000

| Name Code | Center No. | Screening No. | Patient No | Study Day | Emesis Oc | currence |
|-------------------|---------------|------------------|------------|-----------|---------------|-------------------------------------|
| | | 5 | _1 | | Day Year | / |
| | I | FORM 14 – EN | MESIS TRA | CKING FO | RM | |
| | es or expecto | rated mucous. S | | | | ne minute). Do not unit staff to |
| | Time Emesis | Occurred | | W | itness Signat | ure |
| 1. | | | | | | |
| 2 | | | | | | |
| 1 | | | | | | |
| 5 | | | | | | |
| 6. | | | | | | |
| 7. | | | | | | |
| 8. | | | | | | |
| 9. | | | | | | |
| 10. | | | | | | |
| 11. | | | | | | |
| 12. 13. | | | | | | |
| 14. | | | | | | |
| 15. | | | | | | |
| 16. | | <u> </u> | | | | |
| 17. | | | | | | |
| 18. | | | | | | |
| If no emesis of | | – 2400, check he | ere | | Date | |
| VA Form 10-21044(| | 0 | | | Daเษ | v. 2/28/01 |

| Name Code | | Center No. | Screening No5 | | Patient No. Study Day | | Assessment Date | | |
|-----------|---------|------------------------|---|----------------|-----------------------|---------------|------------------|------------|--|
| | | | | ' Time Adm | | Mo | Day | Year | |
| | FO | RM 15 - OBJ | ECTIVE OPIAT | E WITHDRA | WAL SCAL | E (OC | WS-H | ANDLESMAN | |
| | | | h item below care nswer each item. | • • | | | | | |
| | | | | | NOT PRESEN | NT I | PRESEN | T | |
| 1. | Yawni | ng (<i>one or mon</i> | ·e) | | | _ | | | |
| 2. | Rhinor | rhea (three or | more= present) | | | / 1 | | | |
| 3. | Piloere | ection (Goosef | lesh – observe pat | tient's arm) | | (th | ree or 1 | nore) | |
| 4. | Perspir | ration | | | | | | | |
| 5. | Lacrim | nation | | | | | | | |
| 6. | Mydria | asis | | | | | | | |
| 7. | Tremo | rs (hands) | | | | | | | |
| 8. | Hot an | d cold flashes | (Shivering or hude | dling for warm | th) | | | | |
| 9. | Restles | ssness (Freque | nt shifts of positio | n) | | | | | |
| 10. | Vomiti | ng | | | | | | | |
| 11. | Muscle | e twitches | | | | | | | |
| 12. | Abdon | ninal cramps (I | Holding stomach) | | | | | | |
| 13. | Anxiet | y (Range: mild | I to severe) | | | (M =n | nild, MD | | |
| | Mild: | observable mai | nifestations – foot | shaking, fidge | ting, finger ta | pping. | (S =seve | re) | |
| | | | agitation, unable to nsations, palpitati | | g, panicky; cor | mplain | s of dif | ficulty in | |
| | EODM 4 | COMDI ETED BY | V | | | DATE | | | |

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code Time | Center No. | Screening No. | Patient No. | Study Day | Assessment Date | Assessment |
|-------------------|------------|---------------|-------------|-----------|-----------------|------------|
| | | 5 | _1 | | // | |

FORM 16 - SHORT OPIATE WITHDRAWAL SCALE (SOWS, GOSSOP)

Please put a check mark in the appropriate box if you have suffered from any of the following conditions in the *past 24 hours:*

| | None | Mild | Moderate | Severe |
|--------------------------------|------|------|----------|--------|
| 1. Feeling Sick | | | | |
| 2. Stomach Cramps | | | | |
| 3. Muscle Spasms/Twitching | | | | |
| 4. Feeling of Coldness | | | | |
| 5. Heart Pounding | | | | |
| 6. Muscular Tension | | | | |
| 7. Aches and Pains | | | | |
| 8. Yawning | | | | |
| 9. Runny Eyes | | | | |
| 10. Insomnia/Problems Sleeping | | | | |

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code | Center No. | Screening No. | Patient No. | Study Day | Assessment Date |
|-----------|------------|---------------|--------------|-----------|-----------------|
| | | 5 | 1 | | /// |
| | | | | | Mo Day Year |
| | | | Time Adminis | 44 | |
| | | | Time Adminis | terea | |

FORM 17 - MODIFIED CLINICAL GLOBAL IMPRESSIONS - PATIENT VERSION

| INSTRUCT | TIONS: Circle the most appropriate response listed underneath each question. | | | | | | | |
|--------------------|---|--|--|--|--|--|--|--|
| Question Number | MODIFIED CLINICAL GLOBAL IMPRESSIONS - PATIENT VERSION | | | | | | | |
| 1. | SEVERITY OF OPIATE WITHDRAWAL | | | | | | | |
| | In your life as a person who has been addicted to opiates (e.g., heroin), how severe are your opiate withdrawal symptoms at this time? | | | | | | | |
| | 1 = No opiate withdrawal symptoms | | | | | | | |
| | 2 = On the border between no to mild opiate withdrawal symptoms | | | | | | | |
| | 3 = Mild opiate withdrawal symptoms | | | | | | | |
| | 4 = Moderate opiate withdrawal symptoms | | | | | | | |
| | 5 = Marked opiate withdrawal symptoms | | | | | | | |
| | 6 = Severe opiate withdrawal symptoms | | | | | | | |
| | 7 = The most severe opiate withdrawal symptoms that I have ever had | | | | | | | |
| | Maximum Score = 7 | | | | | | | |
| 2. | SIDE EFFECTS INDEX – | | | | | | | |
| | Rate this item on the basis of THE STUDY DRUG THAT YOU ARE TAKING ONLY | | | | | | | |
| | Select the response that best describes the degree of side effects that you are currently experiencing with the STUDY DRUG. | | | | | | | |
| | 1 = None. The study drug has no side effects | | | | | | | |
| | 2 = The study drug has slight side effects, but it does NOT significantly interfere with my day to day activities on the unit | | | | | | | |
| | 3 = The study drug has moderate side effects, and it DOES significantly interfere with my day to day activities on the unit | | | | | | | |
| | 4 = The study drug has severe side effects, and these side effects are greater than the relief from opiate withdrawal symptoms that it provides | | | | | | | |
| | Maximum Score = 4 | | | | | | | |

(Adaptation of NIMH Clinical Global Impressions, Psychopharmacology Bulletin 21: 839-843, 1985; modified 072800, from 091499).

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code | Center No. | Screening No. | Patient No. | Study Day | Assessm | ent Date | |
|-----------|------------|---------------|-------------|-----------|---------|----------|------|
| | | 5 | 1 | | | _/ | _/ |
| | | | | | Mo | Day | Year |
| | | | Time Admin | istered | | | |

FORM 18 – MODIFIED CLINICAL GLOBAL IMPRESSION – RATER VERSION -

INSTRUCTIONS: Circle the most appropriate response listed underneath each question.

| Question Number | MODIFIED CLINICAL GLOBAL IMPRESSIONS - RATER VERSION | | | | | |
|--------------------|--|--|--|--|--|--|
| 1. | SEVERITY OF ILLNESS Considering your total clinical experience with this particular population, how ill (severity of opiate withdrawal symptoms) is the patient at this time? | | | | | |
| | 0 = Not at all ill | | | | | |
| | 1 = Borderline ill | | | | | |
| | 2 = Mildly ill | | | | | |
| | 3 = Moderately ill | | | | | |
| | 4 = Markedly ill | | | | | |
| | 5 = Severely ill | | | | | |
| | 6 = Among the most extremely ill patients | | | | | |
| | Maximum Score = 6 | | | | | |
| 2. | SIDE EFFECTS INDEX – Rate this item on the basis of EFFECTS OF STUDY DRUG ONLY. Select the term which best describes the degree of side effects. | | | | | |
| | 1 = None, study drug is producing no side effects | | | | | |
| | 2 = Do not significantly interfere with patient's functioning | | | | | |
| | 3 = Significantly interferes with patient's functioning | | | | | |
| | 4 = Outweighs therapeutic effect | | | | | |
| | Maximum Score = 4 | | | | | |

(Adaptation of NIMH Clinical Global Impressions, Psychopharmacology Bulletin 21: 839-843, 1985;modified 072800, from 091499).

| Rater Signature | Date |
|-------------------------------------|-----------|
| VA Form 10-21044(NR)r – August 2000 | v.1/30/01 |

FORM 19 -SUBJECTIVE OPIATE WITHDRAWAL SCALE (SOWS, HANDELSMAN)

INSTRUCTIONS: Answer the following statements as accurately as you can. Rate the way you have been feeling the PAST 24 HOURS according to the scale below.

| Please check the box which is the most appropriate for how you have been feeling. | Not At All | A Little | Moderately | Quite A Bit | Extremely |
|---|---------------|-------------|------------|----------------|-----------|
| 1. I have felt anxious. | | | | | |
| 2. I have been yawning. | | | | | |
| 3. I have been perspiring. | | | | | |
| 4. My eyes have been tearing. | | | | | |
| 5. My nose has been running. | | | | | |
| 6. I have had gooseflesh. | | | | | |
| 7. I have been shaking. | | | | | |
| 8. I have had hot flashes. | | | | | |
| 9. I have had cold flashes. | | | | | |
| 10. My bones and muscles have been aching. | | | | | |
| 11. I have been feeling restless. | | | | | |
| 12. I have been feeling nauseous. | | | | | |
| 13. I have felt like vomiting. | | | | | |
| 14. My muscles have been twitching. | | | | | |
| 15. I have had cramps in my stomach. | | | | | |
| 16. I have felt like shooting up. | | | | | |
| 17. I have had trouble sleeping. | | | | | |
| 18. My appetite has been poor. | | | | | |
| 19. I have had diarrhea. | | | | | |

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code | Center No. | Screening No 5 | Patient No. 1 | Study Day | Assessment Date |
|------------------------------|----------------------|--------------------|------------------|-------------------|--------------------------------|
| | | | | Mo Day | Year |
| | | | Assessment T | Time | |
| | | FORM 20 | - VAS-E (Sick | ness Alleviatio | n) |
| Instructions: I copy of form | | | point on the lin | ne that best desc | cribes your opinion. (No photo |
| 1. How effect | tive is study d | rug at relieving y | our withdrawa | l sickness? | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | Not effect at all | ive | | | Completely effective |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| length: | mm (st | taff use only) | | | |
| VA Form10 21044(N | JR)t - August 2000 | | | | Version 1 1/30/01 |

Revision 6/12/01

$VA/NIDA\ STUDY\ 1020$ A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| | | | o. Sciecining No | Patient No. | Study Day | Date Medicati | on was Giv | /en |
|------------|------------|------|---|------------------|-------------|------------------|--------------------|----------|
| | | | 5 | 1 | | // | | _ |
| | | | | | | Mo Day | Year | |
| | | | FORM 21 - ME | DICATION A | DMINIST | RATION | | |
| 1 | 4 1 | | diag4ian aadaa | an baalsta india | م م م م م ا | iaatian airran 1 | Danaud ann | la daga |
| | | | medication codes of A hour period from | | | | | |
| | | | age or route for an | | - 1 , | | ` | |
| | Medicati | an | Andination Specify | | | | Cirron fo | u Oniota |
| | Numbe | | Aedication Specify required if medicati | on | | Time Med | Given fo Withdi | |
| | Code (01- | -14) | code = 14) | Dosage/Units | Route | Given | (Circle | One) |
| ١. | | _ | | | | | Yes | No- |
| 3. | | | | | | | Yes | No- |
| Z• | | | | | | | Yes | No- |
|). | | | | | | | Yes | No- |
| Ε. | | _ | | | | | Yes | No- |
| ř | | | | | | | Yes | No. |
| j. | | _ | | | | | Yes | No- |
| ł. | | - | | | | | | |
| | | - | | | | | Yes | No- |
| | | _ | | | | | Yes | —No— |
| ζ. | | _ | | | | | Yes | No |
| 1• 1• | | _ | | | | | Yes | —No— |
| Л. | | _ | | | | | Yes | -No |
| v | | _ | | | | | Yes | No- |
| | | _ | | | | | Yes | No- |
|). | | _ | | | | | Yes | —No— |
| · | | _ | | | | | Yes | -No- |
|) . | | _ | | | | | Yes | No- |
| ₹. | | | | | | | Yes | No- |

| FORM COMPLETED BY: | Date |
|------------------------|------|
| | |
| PHYSICIAN'S SIGNATURE: | Date |

T.

APPROVED MEDICATION CODES (SPECIFY route and dosage where different from below)

- 01 = Morphine s.c. (specify)
- 02 = Lofexidine/Placebo po (specify number of pills given)
- 03 = Multivitamin: 1 tab po qd at 0900
- 04 = Guaifenesen: 2 tsp po q2h PRN
- 05 = Maalox Plus (or generic alumina, magnesia & simethicare): 30cc po q4h PRN
- 06 = Colace (or generic dioctyl sodium sulfosuccinate): 100mg po q8h PRN
- 07 = Metamucil (or generic psyllium hydrocolloid suspension): 1 TBSP po q12h PRN
- 08 = Pepto Bismol: (or generic bismuth sulfate) 30cc po PRN (NTE 6 doses in 24 hrs)
- 09 = Tylenol: (or generic Acetaminophen) 650mg po q6h PRN (NTE 4 doses in 24 hrs)
- 10 = Ambien: (or generic zolpidem) 10mg po PRN after 2300 MRx1 before 0500. (*Record on AE Form 26*)
- 11 = Nicotine patch 21mg topically, once daily
- 12 = Nicotine patch 14mg topically, once daily
- 13 = Nicotine patch 7mg topically, once daily
- 14 = Other medication not listed above (must fill in SPECIFY)

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code | Center No. | Screening No. | Patient No. | Study Day | Ass | essment Date | |
|-----------|------------|---------------|--------------|-----------|-----|--------------|--|
| | | 5 | 1 | | | // | |
| | | | | Mo | Day | Year | |
| | | | Assessment T | Time | | | |
| | | | | _ | | | |

FORM 22 - MORPHINE BENZEDRINE GROUP SCALE (ARCI MBG)

Instructions: For each item below, indicate how you are feeling <u>RIGHT NOW</u> by answering TRUE or **FALSE**.

| FALSE. | | T |
|--|------|-------|
| | TRUE | FALSE |
| 1. I would be happy all the time if I felt as I feel now. | | |
| 2. I am in the mood to talk about the feeling I have. | | |
| 3. I am full of energy. | | |
| 4. I would be happy all the time if I felt as I do now. | | |
| 5. Things around me seem more pleasing than usual. | | |
| 6. I feel less discouraged than usual. | | |
| 7. I fear that I will lose the contentment that I now have. | | |
| 8. I feel as if something pleasant just happened to me. | | |
| 9. Today I say things in the easiest possible way. | | |
| 10. I feel so good that I know other people can tell it. | | |
| 11. I feel more clear-headed than dreamy. | | |
| 12. I can completely appreciate what others are saying when I am in this mood. | | |
| 13. I feel as if I would be more popular with people today. | | |
| 14. I feel a very pleasant emptiness. | | |
| 15. I feel in complete harmony with the world and those about me. | | |
| 16. I have a pleasant feeling in my stomach. | | |
| 17. I feel high. | | |

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code | Center No. | Screening No. | Patient No. | Study Day | Assessment Date | |
|-----------|------------|---------------|----------------------|-----------|-----------------|--|
| | 5_ | | 1 Assessment Time | Mo | | |
| | | | | | | |

FORM 23 - VAS (HIGH)

Instructions: Draw a slash mark through the point on the line that best describes your opinion. (Photo copy of form will not be accepted.)

1. How □high□ do you feel right now?



length: _____ mm (staff use only)

VA/NIDA STUDY 1020 - A Phase III Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code | Center No. | Patient No. | Study Day | Assessment Date | Assessment Time |
|-----------|------------|-------------|-----------|-----------------|-----------------|
| | | | | // | |

FORM 24 - VITAL SIGNS

COMPLETE A NEW FORM FOR EACH SESSION OF VITAL SIGNS TAKEN (FIVE TIMES DAILY). If the patient exhibits any of the criteria below, copy the vital signs from this form onto Form 33. Then use Form 33 to track the patient's vital signs and associated interventions, per protocol, until the episode is resolved. Also initiate a Form 33 to track vital signs during an occurrence of Criteria B (symptoms) or C (medical interventions) below.

Take sitting vital signs after patient has been **sitting** for 3 **minutes**. Then immediately stand the patient for 1 **minute** and take orthostatic (**standing**) vital signs.

| Temperature (oral) F° | Type of Reading: Circle One | Not Done | BP Reading: Systolic/Diastolic | Heart Rate: Beats/min | Respiration: Breaths/min | Symptoms/Interventions |
|-----------------------|--------------------------------|-------------|-----------------------------------|--------------------------|-----------------------------|------------------------|
| | *Lying or Sitting | | / | | | |
| | *Sitting or Standing | | / | | | |

^{*}If patient is too dizzy to stand, mark standing VS as Not Done. If patient is too dizzy to sit, record lying VS and mark standing VS as Not Done.

CRITERIA FOR INITIATING FORM 33 VITAL SIGNS TRACKING:

A. If the above <u>vital signs</u> meet any of the following criteria, either sitting or standing, initiate Form 33 and follow instructions. Also list the abnormal vital signs as an AE on **Form 26**.

- 1.) Systolic BP \leq 80 mmHg
- 2.) Systolic BP >185 mmHg
- 3.) Diastolic BP >110mmHg
- 4.) Heart Rate <60 bpm occurring with any of the symptoms below

B. **Symptoms:** Initiate a Form 33 to track vital signs if patient experiences a single occurrence of any of the following, with or without abnormal vital signs:

- 1.) Symptoms of hypotension (dizziness, syncope) making it difficult to sit or stand for at least one minute
- 2.) Chest pain (clinically considered to be of possible cardiac origin, associated with ECG changes or cardiac enzymes)
- 3.) Clinically significant shortness of breath

| C. Any medical intervention: Initiate Form 33, with or without abnormal vital signs, when any iterat any cardiac event. | intervention | (medication or non-medication | on) is used to |
|--|--------------|-------------------------------|----------------|
| D. Was a Vital Signs Tracking Form (Form 33) completed for the vital signs assessment above? | 1= Yes | _ 2= No | |
| PHYSICIAN'S SIGNATURE: VA Form - 10-21044(NR)s - August 2000 | Date: | | V. 3/9/01 |

4.) Decreased level of consciousness

A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| | Name Code | Center No. | Screening No | Patient No. Study Day | Date ECG Administered |
|----------|----------------------------|----------------------|---------------------|-------------------------------------|-----------------------|
| | | | | CARDIOGRAM RESULT | ear S (ECG) |
| 1. 2. | | results were [| | Abnormal : | |
| | 3. Increased Q | QRS voltage | | P. Supraventricular tachycardia | |
| | B. QT _c prolong | ation | | Q. Ventricular tachycardia | |
| | 4. Left ventric | cular hypertrophy | | R. 1st degree A-V block | |
| | 5. Right ventr | icular hypertrophy | | S. 2 nd degree A-V block | |
| | 6. Acute infar | ction | | T. 3 rd degree A-V block | |
| | 7. Subacute in | farction | | U. Atrial Fibrillation | |
| | 8. Old infarcti | ion | | V. Atrial Flutter | |
| | 9. Myocardial | ischemia | | W. Implanted Pacemaker | |
| | 10. Symmetrica | al t-wave inversions | . 🗆 | X. LBB Block | |
| | 11. Poor R-way | ve progression | | Y. RBB Block | |
| | 12. Other nonsp | pecific ST/T | | Z. Pre-excitation Syndrome | |
| | L. Sinus tachyo | cardia | | Z1. Other Intraventricular Cor | ndition |
| | M. Sinus bradyo | cardia | | Block | |
| | N. Supraventric | cular premature bea | t 🗆 | Z2. Other, Specify | |
| | O. Ventricular p | oremature beat | | Z3. Other, Specify | |
| 3. | Do any of the al | bnormalities preclu | de safe entry or co | ntinuation in the study? 1Yes 2N | Io |
| | If Yes: | | | | |
| | A. list letter ind | icator(s) from Q.2. | If more than 5 abr | normalities, list the most severe: | |
| 4. | Ventricular rate | (bpm): | | | |
| 5. | PR (ms): | | | | |
| 6. | QRS (ms): | | | | |
| 7. | QT _c (ms): | | _ | | |
| 8. | Read By: | Please Print) | | Date Read - Mo_ | DayYr |
| | ORM COMPL | | | | |
| 1.(| JKIVI COMIPLI | DIED DI | Date | | |

PHYSICIAN'S SIGNATURE Date Form VA 10-21044(NR)y - August 2000

VA/NIDA STUDY 1020 - A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code | Center No. | Screening No. | Patient No. | Study Day | Date of AE Occurrence |
|-----------|------------|---------------|-------------|-----------|-----------------------|
| | | 5 | _1 | | // |

FORM 26 - ADVERSE EVENTS

COMPLETE THIS FORM DAILY BY AN MD OR NP. RECORD AEs FOR THE 24 HOUR PERIOD FROM <u>0000 TO 2400</u>. (An adverse event is any untoward medical occurrence experienced by a patient after study enrollment including a clinically significant laboratory test change from baseline.)

| | I. Relatedness: 1=Definitely Study Drug Rel 2=Probably Study Drug Rela 3=Possibly Study Drug Rela 4=Unrelated to Study Drug | ated | II. Severity: 1=Mild 2=Moderate 3=Severe *4=Life Threatening | 2: 3: 4: | III. Action Taken: =None =Medication Prescribed B=Medical Consultation H=Study Drug Dose Withheld E=Discontinued From Study | l | 4=0 | 1=Resolv 2=Ongoin | ng, Improving, No Changorsening talization | | | | |
|---|---|------|--|----------------|---|-----------|-----|----------------------------|--|-------------------------|----------------|---|---|
| | Common Adverse Event Code From Box Below | | FY Nature of Adverse Ever Required when Code =01) | | Date of Onset (Mo / Day / Yr) | Time Onse | | I. Related - ness | II. Highest Level of Severity | III. Action Taken | IV. Outcome | If Resolved, Date of Resolution (Mo / Day / Yr) circle []c[] if continuit | |
| A | | | | | // | | _ | | | | | // | с |
| В | | | | | / | | _ | | | | | // | с |
| C | | | | | / | | _ | | | | | // | с |
| D | | | | | / | | | | | | | // | с |
| Е | | | | | / | | _ | | | | | // | с |
| F | | | | | // | | | | | | | // | c |
| G | | | | | // | | | | | | | // | с |
| Н | | | | | // | | _ | | | | | // | С |

* Requires completion of Form 30 – Serious/Unexpected Adverse Event Form

| *Common Adverse Event C | Codes Please use codes to indicate the | Adverse Event, and complete the | remaining columns. Only use | SPECIFY for code $= 1$ (other | er |
|-------------------------|--|---------------------------------|-----------------------------|-------------------------------|---------------------|
| Adverse Event) | | | | | |
| 01=Other Adverse Event | 07=Diarrhea 1 | 3=Gastric Upset | 19=Muscle Aches | 25=Rash 31=V | ⁷ ertigo |
| 02=Abdominal Cramps | 08=Dizziness | 14=Headache | 20=Nausea | 26=Restlessness | |
| 32=Vomiting/ | | | | | |
| 03=Anxiety | 09=Drowsiness | 15=Hypotension (<80 syst) | 21=Nightmares | 27=Rhinorrhea | |
| Emesis | | | | | |
| 04=Appetite Decrease | 10=Dry mouth, nose, thro | at 16=Insomnia | 22=Numbness | 28=Sweating | |
| 05=Chest Pain | 11=Fatigue | 17=Joint Pain | 23=Palpitations | 29=Hypertension | |

| 06=Constipation | 12=Flushing | 18=Lacrimation | 24=Pruritis | 30=Tremors |
|--|-------------|----------------|-------------|------------|
| PHYSICIAN=\$/NP SIGNATURE VA Form 10-21044(NR)z – August 2000 | | Date | | V. 2/28/01 |

| | Name Cod | e Center | No. | Screening No. 5 | Patient No | o. Stud | dy Day | Assessment | Date |
|----|-----------------------------------|--|---------|--------------------|---------------|------------|-------------|------------|--------|
| Mo | Day Yea | ar | | | ' | | | // | |
| | | FORM 27 | - TOI | BACCO WITHI | DRAWAL S | YMPTO | MS DAIL | Y DIARY | |
| 1 | G | | | | | | | | |
| 1. | Current tir | ne | | | | | | | |
| 2. | Please rate | yourself on | each o | f the following it | tems using tl | ne categoi | ries provid | ded. | |
| N | Mark the $\underline{\mathbf{o}}$ | ne box that b | est des | scribes your feeli | ngs today. | | | | |
| | | | | | None | Slight | Mild | Moderate | Severe |
| A. | Desire to sr | noke | | | | | | | |
| B. | Anger, irrita | ability, frustration | on | | | | | | |
| C. | Anxiety, ne | rvousness | | | | | | | |
| D. | Difficulty c | oncentrating | | | | | | | |
| E. | Impatience, | restlessness | | | | | | | |
| F. | Hunger | | | | | | | | |
| G. | Awakening | at night | | | | | | | |
| H. | Depression | | | | | | | | |
|] | □ No, non □ Yes, one | smoked cigare at all (not expuff or more | even oi | • | ska todav? ∫ | - | | | |
| 4. | - | ast 24 hours, | J | nuch of the time | · | | tine patch | es worn? | |
| Γ | Part of th | ne time | | | | | | | |
| | | | | | | | | | |
| L | Entire tii | me | | | | | | | |
| | | | | | | | | | |

| Name Code | Center No. | Screening | Patient No. | Stud | y Day | Date Specimen | Collected |
|--------------|-------------------------------------|---------------|-------------|--------|-----------|---------------|------------|
| | | 5 | 1 | | Mo Day Ye | / | / |
| | | FORM | 28 - URIN | E TOXI | COLOGY | Y | |
| - | nture within export 33.3°C) | pected range? | 1=1 | YES □ | 2=NO □ | | |
| SCREEN FOI | R: | | | | | | |
| 1. AMPHI | ETAMINES/ME | THAMPHETA | MINES 1 P | os 2 | Neg 3 N | ot Done | |
| 2. COCAI | NE METABOL | ITES | 1 Pos | 2 Neg | 3 Not Don | e | |
| 3. BARBI | TURATES | | 1 Pos | 2 Neg | 3 Not Don | e | |
| 4. OPIATE | ES | | 1 Pos | 2 Neg | 3 Not Don | e | |
| 5. BENZO | DIAZEPINES | | 1 Pos | 2 Neg | 3 Not Don | e | |
| 6. CANNA | ABINOIDS (TH | C) | 1 Pos | 2 Neg | 3 Not Don | e | |
| 7. METHA | ADONE | | 1 Pos | 2 Neg | 3 Not Don | e | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| FORM COMP | LETED BY | | | | | Date | |
| | | | | | | | |
| | S SIGNATURE_ NR)bb - August 2000 | | | | _ | Date | V. 1/30/01 |

VA/NIDA STUDY 1020 - A Phase 3 Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Code | Center No. | Screening No | Patient No |
|-----------|------------|--------------|------------|
| | | 5 | 1 |

FORM 29 - PLASMA PK SAMPLING

Use this form to record plasma Pk blood draw. Fill in the date and actual time blood was drawn, check if it was drawn BEFORE study medication was administered, and sign.

| Study Day | Time Draw Scheduled | Date Specimen Drawn Mo/Date/Year | Actual Time Blood Drawn | Was Blood Drawn BEFORE Study Med Given? | Signature of Person Obtaining Blood Draw |
|--------------|-----------------------------|-------------------------------------|----------------------------|--|---|
| 4 | 0800 (before Lofex dose) | // | | YESNO | |
| 6 | 0800 (before Lofex dose) | | | YESNO | |
| 7 | 0800 (before Lofex dose) | / | | YESNO | |
| 7 | 0900 | / | | | |
| 7 | 1000 | | | | |
| 7 | 1100 | | | | |
| 7 | 1200 | | | | |
| 7 | 1300 (before Lofex dose) | // | | YESNO | |
| 7 | 1600 | // | | | |
| 7 | 1800 (before Lofex dose) | // | | YESNO | |
| 7 | 2100 | | | | |
| 7 | 2300 (before Lofex dose) | | ———— | YESNO | |
| 8 | 0800 (before Lofex dose) | | | YESNO | |
| 10 | 1000 (after MHOWS) | // | | YESNO | |

| | | VA FORM -10-21044(NR)cc -August 2000 |
|--------------------------|-------|--------------------------------------|
| PHYSICIAN=S SIGNATURE: _ | Date: | v. 2/28/01 |

| Name Code | Center No. | Screening No. 5 | | Study Day | Date Form Co | ompleted |
|--|---|----------------------------|-------------|------------|---------------------------------|----------|
| | | | <u> </u> | - <u> </u> | Mo Day | Year |
| | | FORM 30 - SEI | RIOUS ADVER | SE EVENT F | ORM | |
| INVESTIGATOR: | Address: |) | | | | |
| PARTICIPANT | | rolled in Study: | | | of Birth: / | _/ |
| DATA: | | (1=Male, 2=Female lbs. | e) | | t: inches | |
| ADVERSE EVEN | | | | | | |
| | eatening lization y ital Anomaly d intervention | apply): to prevent permane | | mage | 4 = Remotely 5 = Definitely Not | |
| 9. Description of A (symptoms, course | | treatment, and sequ | relae): | | | |
| 10. Severity: 1 = Mild 2 = Moderate 3 = Severe 11. Study Drug Re | elated: | | | | | |
| 1 = Definitely 2 = Probably 3 = Possibly | | | | | | |

| 12. Concomitant Drug F 1 = Definitely 2 = Probably 3 = Possibly 4 = Remotely 5 = Definitely Not | Related: | | | 3 = Discontinued Temporarily 4 = Reduced Dose 5 = Increased Dose 6 = Delayed Dose 15. Outcome: 1 = Resolved; no sequelae |
|--|-------------------|---------------|-----------------------------------|---|
| 13. Action Taken: Circle 1 = None 2 = Remedial Thera 3 = Remedial Thera 4 = Hospitalization (l | oy oy (Non- | Pharn | macologic) nacologic) nged) | 2 = Not Resolved 3 = Resulted in sequelae 4 = Unknown 5 = Death* (complete 16, 17, and 18) |
| 14 Study Drug Action: | | | | 16. *Date of Death:/// |
| 14. Study Drug Action:1 = None | | | | 17. *Autopsy performed: |
| 2 = Discontinued Pe | • | | | 1=Yes 2=No |
| VA Form 10-21044(NR)dd - Ai CSP# 1020 - Form 30 - Pa | | | | V. 2/14/01 |
| Name Code | Center No. | Screening No. | Patient No. | Date Form Completed |
| <u> </u> | | 5 | 1 | |
| | | | | Mo Day Yr |
| 19. Relevant tests/lab | poratory data: | | | |
| | | | | |
| 20. Describe relevan | t medical history | ; | | |
| | | | | |
| | | | | |
| | | | | |

A. Study Drug

B. Route

C. Daily Dose

D. Start Date

E. End Date

F. Lot #

| 2. | 2. | 2. | | | | , , | |
|--|--|--|----------------------|-------------|---------------|---------------|--------------------|
| 3 Concomitant Med(s) B. Route C. Daily Dose D. Start Date E. End Date 4 Concomitant Med(s) B. Route C. Daily Dose D. Start Date E. End Date 5 Concomitant Med(s) D. Start Date E. End Date 6 | 3 | 3 | 21. | | | // | // |
| A. Concomitant Med(s) B. Route C. Daily Dose D. Start Date E. End Date 4. | A. Concomitant Med(s) B. Route C. Daily Dose D. Start Date E. End Date 4. | A. Concomitant Med(s) B. Route C. Daily Dose D. Start Date E. End Date 4. | 22. | | | // | // |
| 4. | 4. | 4. | 3. | | | // | // |
| 5. | 5. | 5. | . Concomitant Med(s) | B. Route | C. Daily Dose | D. Start Date | E. End Date |
| 6. | 6. | 6. | 4. | | | // | // |
| 7. | 7. | 7. | 5. | | | // | // |
| 8. | 8. | 8. | 6. | | | // | _ // |
| 9. | 9. | 9. | 7. | | | // | // |
| Name Code Center No. Screening No. Patient No. Date Form Complete | Name Code Center No. Screening No. Patient No. Date Form Complete | Name Code Center No. Screening No. Patient No. Date Form Complete | 8. | | | // | // |
| Name Code Center No. Screening No. Patient No. Date Form Complete | Name Code Center No. Screening No. Patient No. Date Form Complete | Name Code Center No. Screening No. Patient No. Date Form Complete | 9. | | | / / | / / |
| Mo Day Yr | Mo Day Yr | Mo Day Yr | | Center No. | Screening No. | Patient No. | Date Form Complete |
| | | | Name Code | Center 140. | | | |
| ditional space provided for question 9, Description of Adverse Event: | ditional space provided for question 9, Description of Adverse Event: | ditional space provided for question 9, Description of Adverse Event: | Name Code | — — — | | 1 | // |
| | | | Name Code | — — — | | 1 | // |

F. Indication for Use:

| Physician Signature | Date | |
|--------------------------------------|------|--|
| VA Form 10-21044(NR)dd - August 2000 | | |

A Phase III Placebo-Controlled, Double-Blind Multi-Site Trial of Lofexidine for Opiate Withdrawal

| Name Co | ode | Center No. | Screening No5 | | ient No. 1 | Date | e Form Com | pleted |
|----------|---------|----------------------------------|--|------------|-----------------|----------------------|------------------|----------|
| | | | FORM 31 - EN | D OF STU | U DY FOR | a M | Mo Day | Year |
| | | | | | | | | |
| | | | | Month | Day | Year | Study 1 | Day |
| 2. Usin | g the | | vas discontinued: se check the <u>prima</u> | | for ending |]□□□ g study part | □ □ icipation | |
| | A. | Completed the | e protocol | | | | | |
| | В. | 1. Pregnancy | ne ineligible (plea | | | • | | |
| specify | | | Failed | inclusion | 1 0 | r ex | clusion | criteria |
| | | 3. Other reaso | n, specify: | | | | | |
| | C. | Toxicity or sid Specify: | de effects related t | o study m | edication | | | |
| | D. | Medical reason Specify reason | n unrelated to stud : | y medicat | ion | | | |
| | E. | Discharge for i | non-compliance | | | | | |
| | F. | Patient's reque | st - Study medicat | ion not wo | orking (aft | er study da | y #3) | |
| | G. | Patient's reque | st - Other reason, | specify | | | | |
| | Н. | Death (Comple | ete Serious/Unexp | pected Ad | verse Eve | nt Form) | | |
| | I. | Other reason, s | specify: | | | | | |
| FORM COL | MPLE | TED BY Da | te | | | | | |

SITE PHYSICIAN'S SIGNATURE VA Form 10-21044(NR)ee - August 2000 Date

V. 2/14/01

| Name Code | Center No. | Screening No | Patient No. | Date Form | Completed |
|--------------------|-------------------------------------|----------------------|----------------------|----------------|------------------|
| | | 5 | 1 | /_ | / |
| | | | FOLLOW-UP | Mo Day Yea | ŗ |
| COMDIETE TIL | IC EADM WIT | | | r tedminates | OR COMPLETES |
| THE PROTOCOL | | HIN 30 DAIS A | FIER PAIIEN | I IERMINAIES | OR COMPLETES |
| | | | | | 1 = YES $2 = NO$ |
| | een made with t plete A, B, C, t | | | | |
| A. Date of co | ontact: Mo | Day Yr | | | |
| B. Does the | patient report cu | irrently using opia | ates illicitly? | | |
| C. Does the | patient report cu | urrently using other | er drugs illicitly?. | | |
| 2. If unable to re | each natient has | contact been ma | de with someone | who can verify | |
| his/her status | | | | • | |
| If YES: | | | | | |
| A. Date of co | ontact: Mo | Day Yr _ | | | |
| 1. Re 2. Fr | elativeiend | ntient (check one) | · | | |
| C. Does the | contact report th | nat patient is curre | ently using drugs | illicitly? | |
| 3. Has the patient | died? | | | | |
| If YES: | | | | | |
| A. Date of D | eam. Mo | _ Day Yr _ | | | |
| B. Cause of D | eath | | | | |
| C. Information | on verified by si | te staff (e.g., coro | ner's office, deatl | n certificate) | |
| | | | | | |
| | | | | | |
| AdditionalComme | nts: | | | | |
| | | | | | |
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| _ | | | | | |
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| FORM | COMPLETED | BY_ | Date |
|------|-----------|-----|------|
| | | | |

VA Form 10-21044(NR)ff - August 2000

V.2/14/01

VA/NIDA STUDY 1020 - A Phase 3 Placebo-Controlled, Double-blind Multi-site Trial of Lofexidine for Opiate Withdrawal

| Name Code | Center No. | Screening No. | Patient No. | Study Day | Assessment Date |
|-----------|-------------|---------------|-------------|-----------|------------------------|
| | | 5 | _1 | | /// |
| | | | | | Mo Day Year |

FORM 33 - VITAL SIGNS TRACKING

INSTRUCTIONS: The purpose of this form is to track <u>vital signs</u>, <u>interventions</u> (used to treat, reduce or eliminate any cardiac event), the <u>timecourse</u> of an event and its <u>resolution</u>. (Interventions include positional interventions to treat hypotension, IVs, medications other than those approved in the protocol). Initiate this form when ANY OF THE FOLLOWING OCCUR, and continue use of the form until the episode is resolved.

- 1) **Abnormal vital signs** occur and are recorded on Form 24. Copy the abnormal vital signs from Form 24 into the first block below, and continue tracking vital signs on this form until resolution of the episode.
- 2) Symptoms: A patient experiences any of the following, with or without abnormal vital signs:
 - *Symptoms of hypotension (dizziness, syncope) making it difficult to sit or stand for at least one minute
 - *Chest pain (clinically considered to be possible cardiac origin, associated with ECG changes or cardiac enzymes)
 - *Clinically significant shortness of breath
 - *Decreased level of consciousness
- 3) Any **medical intervention** (medication or non-medication) is used to treat, reduce or eliminate any cardiac event.

TERMINATION CRITERIA: A patient must be terminated due to the following

- 1. Clinically significant abnormal ECG (e.g., second or third degree heart block or uncontrolled arrhythmia).
- 2. <u>Persistent Symptomatic Hypotension</u>. Hypotension not responding to bedrest, which leads to missing more than two doses of study medication in a day.
- 3. <u>Single Occurrence of Symptomatic Bradycardia.</u> A single occurrence of heart rate less than 60 beats per minute (regardless of blood pressure) associated with chest pain, shortness of breath, or decreased level of consciousness.
- 4. Persistent
- Hypertension. Blood pressure greater than 185/110 mmHg recorded on three separate occasions taken at least five minutes apart AND within a one hour time period. All three readings must be greater than or equal to 185/110 either systolic > 185 mmHg or diastolic > 110 mmHg to require study termination. (Note: If BP is ≥ 185/110 this can be either systolic > 185 mmHg or diastolic > 110 mmHg BP must be taken twice more within the hour, at least 5 minutes apart.)
- 5. <u>Medical Intervention for Cardiac Event</u>. Any medical intervention (Nonmedication or Medication Inclusive) used for the treatment of any cardiac event, with the exception of a positional intervention in subjects displaying hypotension.
- 6. Any other clinically significant cardiac sign or symptom that would place the subject at inappropriate risk.

| Name Code | Center No. | Screening No. | Patient No. | Study Day | Assessment Date |
|-----------|------------|---------------|-------------|-----------|------------------------|
| | | 5 | _1 | | // |
| | | | | | Mo Day Year |

| Time hh/mm | Type of Reading 1=Lying 2=Sitting 3=Standing | Temp. (oral) F° | BP Syst/Diast. | Pulse Beats/min | Resp. Breaths/min | Symptoms | Interventions | Resolution |
|---------------|--|--------------------|-------------------|--------------------|----------------------|----------|---------------|------------|
| /_ | | ·_ | / | | | | | |
| /_ | | | | | | | | |
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| Name Code | Center No. | Screening No. | Patient No. | Study Day | Assessment Date |
|-----------|------------|---------------|-------------|-----------|------------------------|
| | | 5 | _1 | | // |
| | | | | | Mo Day Year |

| Time hh/mm | Type of Reading 1=Lying 2=Sitting 3=Standing | Temp. (oral) F° | BP Syst/Diast. | Pulse Beats/min | Resp. Breaths/min | Symptoms | Interventions | Resolution |
|---------------|--|--------------------|-------------------|--------------------|----------------------|----------|---------------|------------|
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| Name Code | Center No. | Screening No. | Patient No. | Study Day | Assessment Date |
|-----------|------------|---------------|-------------|-----------|------------------------|
| | | 5 | _1 | | // |
| | | | | | Mo Day Year |

| Time hh/mm | Type of Reading 1=Lying 2=Sitting 3=Standing | Temp. (oral) F° | BP Syst/Diast. | Pulse Beats/min | Resp. Breaths/min | Symptoms | Interventions | Resolution |
|---------------|--|--------------------|-------------------|--------------------|----------------------|----------|---------------|------------|
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| Name Code | Center No. | Screening No. | Patient No. | Study Day | Assessment Date |
|-------------------|-----------------------|----------------------------|---------------|-----------|------------------------|
| | | 5 | _1 | | // |
| | | | | | Mo Day Year |
| If termination of | the patient was neces | ssary, what was the patier | nt's outcome? | | |
| Recovere | ed without additional | treatment | | | |
| Addition | al treatment required | l, specify | | | |
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| PHYSICIAN'S SIGNATURE: _ | Date: | |
|---------------------------------------|-------|-----------|
| VA Form 10-21044 (NR)gg - August 2000 | | V.3/21/01 |

| Name Code | Center | | ening No. | Patient No. | Study Day | Date of C | omment |
|-----------------------|-------------------|-----------------------------------|--------------|----------------------|-------------------------|----------------------|-----------------------|
| | | 5_ | | _1 | | / | _/ |
| | | FODM 2 | 4 DDING | IDAI INVESTICAT | TOR COMMENTS (P | Mo Day | rear |
| | | FORM 3 | 4 - FRINC | IFAL INVESTIGA | TOR COMMENTS (F | TCOM) | |
| INSTRUCTION | NS: Include | the date of entry. | , whether th | e comment is related | to a protocol Violation | , Deviation, Event | or Other. Please also |
| | | ormation is compl | | | 1 | , | |
| A. Date of Occurrence | | B. 1=Violation | | | C. Commer | nts | |
| | | 2=Deviation 3=Event 4=Other | | | | | |
| | | | | | | | |
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| | | | | | | | |
| | | ing comment- | | | | | |
| Signature of S | | | | | | | |
| If more commen | nt space is r | equired, obtain ar | n additional | PICOM log and appr | ropriately number each | of the logs using th | e space provided. |
| VA Form 10-21044(NR | R)hh – August 200 | 00 | | | | Pageof _ | V. 2/13/0 |