NOTE: Boxed annotations indicate supplemental qualifier variables. Result found in QVAL field. **PARTICIPANT LOCATOR FORM**

PAGE 1 OF 4

NIDA-CTN-0017	HIV AND HCV RISK REDUCTION INTERVENTIONS IN DRUG DETOXIFICATION AND TREATMENT SETTINGS
Node:	CTP-Site ID:
Assessment Date://	Staff ID:
*This form is not a CRF and will n	ot be entered into the study database.***
Review with the participant:	THIS DATA NOT ENTERED
 Important for us to colle- follow-up interviews over 	t good, accurate locating information to contact participant for the next six months
 Information will not be li 	ked with answers given in interview
 Your name and locating the study data. 	nformation will be kept in confidential locked files separate from
 If there is certain informations that the provider is a second to the provider than pro	tion that you would rather not give us, we prefer that you let us false information
 If contacting friends/rela Study. 	ives, will say we are calling about a Health
Please tell me your full legal name	(print reconnect verbatim):
First	
First:	
Last:	
Maiden:	
2. What other names, nicknames, str	eet names or aliases do you go by?
Alias #1:	
Alias #2:	
3. What is your date of birth?	// Verified: ☐ Yes ☐ No
4. Description:	
Race:	Sex:
Weight:	Other:
Address	when we need to reach you again?
Address:	<u> </u>
P.O. Box:	City:
State:	Zip:

Modified: 3/16/2005

THIS DATA NOT ENTERED PARTICIPANT LOCATOR FORM PAGE 2 OF 4 CTP - Site ID A. What is the phone number there? (____-В. Whose name is this listed under (whose house will I be calling)? What is the best time for me to call? C. YES...... 1 (Skip to F) D. Is this your address? NO...... E. If NO, whose address is this? Relationship: YES...... (Skip to Q6) F. Is this your best mailing address? NO......0 If NO, what is your best mailing address? G. Apt: P.O. Box: City: _____ Zip: ____ State: (____-H. What is the phone number? I. Whose number is this listed under? J. What is the best time for me to call? Is there an alternative telephone K. number that would be a better number at which to contact you? I'd like to get some information about people we could contact who usually know where you are, including a boyfriend or girlfriend, a spouse, friends, relatives, or a payee. (If participant feels uncomfortable about you contacting these people, let them know that you will say only that you are with a _____ Health Study and that no personal information will be given.

ocator Form v1-01.doc	CTN-0017 Participant
Juatur Fullii VI-UI.uu	CIIV-UUII Failiulbail

Phone: (_____)-___-_

Name #1:

Address:

Relationship:

Name #2:

Address:

Phone: (____)-__--

Relationship: _

THIS DATA NOT ENTERED

	CTP - Site ID	Assessment Date: / / / / /				
7.	Do you know anyone that is also	participating in this study now? YES1 NO0 (Skip	to Q9)			
		May we contact him/her if we are having you?	g trouble locating			
	Name:	YES1 NO0				
	Name:	YES1 NO0 YES1				
	Name:	NO0				
8.	If you were arrested, who would	be the first person you would contact?				
	Name:	Address:				
	Relationship:	Phone: ()				
9.	If you had no place to live, wher	would you go for food and shelter?				
	Name:	Address:				
	Relationship:	Phone: ()				
10.	Where do you usually hang out					
	In the daytime:	At night:				

11. Who do you hang out with?

Name:

Contact information:			
Can we contact him/her?	YES1		
our we contact minutes:	NO0		
Name:		Relationship:	
Contact information:			
Can we contact him/her?	YES1		
Carr we contact minimer?	NO0		
Name:		Relationship:	
Contact information:			
Can we contact him/her?	YES1		
Can we contact minimer?			

Relationship:

NO.....0

THIS DATA NOT ENTERED

PAR	TICIPANT LOCATOR FORM		Page 4 of 4
	CTP - Site ID =	Assessment Date: / /	<u>y</u> _y
12.	People in this study do not have to tell to do follow-up studies. May I have your studies.	us their Social Security Number. However, it is very usefu Social Security Number?	ıl and helps us to
	SSN:		
то в	BE COMPLETED BY THE INTERVIEWE	R:	
13.	Participant gave locating information:		
	Willingly		
	Reluctantly		
	Refused some		
	Refused all		
14.	How accurate do you think this locating	information is?	
	Locating information given easily, at	utomatically, by rote.	
	Participant had some difficulty reme	mbering phone numbers and addresses.	
	Participant gave the impression that	he/she was guessing at the information	
Com	ments/Observations:		
_			
_			
Spec	cial Instructions:		
_			

	STUDY ENROLLME	ENT (ENR)		DO	MAIN: SO	Page 1 of 1	
	NIDA-CTN-0017	HIV AND HCV RISK DETOXIFICAT	Seq. Num :				
STU	DYID NODE:		STUDY VISIT:	EPOCH		_	
	CTP-SITE ID:		(circle one)	VISIT	Rand.		
	PARTICIPANT ID:	USUBJID	Assessment Date: / SCDTC				
	STAFF ID:		YYYY				
	4 B. () ()	SCTEST		RRES		1.1(
	 Date informe 	d consent was signed	a:/_	'	_ (mm/o	dd/ yyyy)	

	NIDA	A-CTN	I-0017	ΗI\	Seq. Num:						
SI	UDY (NODE:			STUDY VISIT: (circle one)	EPOCH S VISITNUN	creen/Base/f	Rand.		
	PART	1 1 1 1 1 1 1	ANT ID:		USUBJID	Assessment Date:	M M / D	D / Y	IEDTC Y Y Y		
	FORM COMPLETION STATUS 1=CRF Administered 4=Not enough time to Administer 2=Participant refused 5=No Participant Contact 3=Staff Member did not Administer 6=Other										
((Research Assistant/Site Coordinator Completed)										
	1. Inclusion Criteria IECAT										
Į.	Yes	No	Unk		γ		IETES	ST			
-	1	0	-9	1a.	Participant is pres	ent for services at a de	toxification clini	c.			
	1	0	-9	1b.	Participant is at le	ast 18 years of age.					
	1	0	-9	1c.	Participant has be	en cleared by detox sta	aff to be intervie	ewed/conse	nted.		
	1 IEC	0 DRRE	-9 S	1d.	OR .	ible marks of recent in		es correct in	jection practices.		
	1	0	-9	1e.		lf-reported injection of e in the previous 30 day		e, amphetar	mine or		
	1	0	-9	1f.	Participant has pa	ssed the consent quiz	and signed the	informed co	onsent form.		
	1	0	-9	1g.	Participant plans t	o remain in the area fo	r the next six m	onths.			
	1	0	-9	1h.	Participant has ag interviews.	reed to provide contac	t information to	be located	for subsequent		
	1	0	-9	1i.		to a urine drug screen e, amphetamine or met			etect the presence		
	1	0	-9	1j.	Participant is suffi assessments.	ciently fluent in English	to understand	consent and	d study		
H	2 Fv	clueid	on Crite	ria	IECAT						
-	Yes	No	Unk	i ia	ILCAT						
9	1	0	-9 T	2a.	Participant is unat	ole to provide informed	consent.	IETEST			
-	1	0 DRRE	-9	2b.	Participant has a r	nedical or psychiatric opation in this study haz	condition that we	ould, in the	opinion of the clinic		
ľ	1	0	-9	2c.		nding legal action that		or interfere	with participation in		
ľ	1	0	-9	2d.		vn to have a high likelih discharge from detox.					
	1	0	-9	2e.	Participant is not of	competent to provide in	formed consen	t.	**************************************		
	1	0	-9	2f.		eviously consented to t					
	If any of the Inclusion questions above have been answered NO or UNKNOWN then the participant is not eligible and cannot be entered into the study. If any of the Exclusion questions above are answered YES or UNKNOWN, then the participant is not eligible and cannot be entered into the study. Please continue to question 3a.										
	3. Eli	gibilit	ty			SC.SCTEST					
	Yes	No	Unk		principalities						
-	1	0	-9 DEC	3a. 3b.	Is the participant Date of eligibility:	eligible for the study?					
	SC.SCORRES 3b. Date of eligibility: / / (mm / dd / yyyy) SC.SCORRES 3c. Specify ineligibility:										

RANDOMIZATION (RAN)						DOMAIN	I: DS, DN	I,CO	PAGE 1 OF 1
NID	A-CTN	I-001	7	HIV AND HCV RIS				Seq. Nun	n:
STUD	YID	NODI	E:		STUDY VISIT:	EPOCE	H Screen/Bas	se/Rand.	
	CTP-S	ITE II	D: _		(circle one)	VISITNUN	Л		
PAR	TICIPA			USUBJID	Assessment	Date:/	/ -	<u></u>	
	STA	AFF II	D:		1=CRF Administe		A-Not encu	, , , , ugh time to A	dminister
l	_ FOF	RM C	OMPL	ETION STATUS	2=Participant refu			cipant Contac	
(Rese	earch A	ssist	ant/Si	te Coordinator Comp	leted)				
1.	If NO, s 0= 1=	kip to Q No Yes	uestion DS	.DSOCCUR	DS.DSTERM		DM.E	STDTC OMDTC CODTC	
2.	1 = 2=	Treatme Counse	ent as L	oup Assignment:	M.RFSTDTC	DSCAT:	RANDO	MIZATIC	DN
3.	Date o	of Ran	domiz	ation:/D	S: DSSTDTC				
4.	If part	icipar	nt was	not randomized, spe	cify the reason(s		ly 'Yes' re XCEPT F		are retained
	Yes	No 0	4	Cailadta astronta di	:_	•			,
Dec	occu		4a.	Failed to return to clin	DS DS	S.DSTERM			
DSC	1	0	4b.	Declined study partici		CAT: REASON	N FOR NO	TC	
	1	0	4c.	Failed to meet inclusion		NDOMIZING			
	1	0	4d.	Met exclusion criteria					
	1	0	4e.	Other If yes specify below: Other, specify:	QNAM=RNDOTH QLABEL=PATIEN IDVAR=DSSEQ		MIZED SPE	CIFIED	
5.	Comm	nents	:	COVAL					
				RDOMAIN = DM					
				IDVAR=VISITNU	JM				
				COREE-RANDO	MIZATION P	AGE 1			

011-311610.		(circle one)	-	or com Bacon tana.
PARTICIPANT ID:	USUBJID	(611616 6116)	VISITNUM	
STAFF ID:		Assessment Date	≘: <u>/</u>	DMDTC/SCDTC
FORM COI	MPLETION STATUS	1=CRF Administered 2=Participant refuse 3=Staff Member did	d	4=Not enough time to Administer 5=No Participant Contact 6=Other
START THE STOTE	SCSTDTC (hn:mm, 24 hour c	lock)		
1. Sex: <u>DM.SEX</u> 1 = Male 2 = Female	2.	Date Of Birth: _		THDTC d y y y y
DIV	LUCDANIC			

3. a. Ethnicity: DM.HISPANIC

1=Spanish origin, Hispanic or Latino

2=Not of Spanish origin, Hispanic or Latino (skip to question 4)

b. If Hispanic or Latino, for each of the following, please circle '1' for 'Yes' or '0' for 'No'.

SCORRES 1 0 1. Mexican, Mexican-American, or Chicano
QNAM=SOTHERS
QLABEL=SPANISH, HISPANIC, OR LATINO: OTHER TEXT
IDVAR=SCSEQ

4. Race: For each of the following, please circle '1' for 'Yes' or '0' for 'No'. Circle '1' for items 'g' or 'h' if participant chooses not to answer or race is unknown. For those categories with further specification, please respond to all sub-category questions. Answer 'Yes' to <u>at least one</u> sub-category. If sub-category is unknown, select 'Other' for the sub-category and write 'Unknown' for the specify.

Yes	No	Rac	ė				
1	0	4.a.	- America	n Indian or Alaska Native	DM.RAG	CE (if m	nultiple, then 'MULTIPLE')
1	0	4.b.	Asian (II	f 'Yes', please complete 4.b.1	through 4.b.7, if 'No', please	skip to q	uestion 4.c.)
		Yes	No		OOTEOT		,
000		1	0	4.b.1. Asian-Indian	SCTEST		
SCOF	RRES	1	0	4.b.2. Chinese			
		1	0	4.b.3. Filipino			
		1	0	4.b.4. Japanese			
		1	0	4.b.5. Korean	QNAM=AOTHERS		
		1	0	4.b.o. victianicoc	QLABEL=ASIAN: OTHER 1	ГЕХТ	
		1	0	4.b.7. Asian, other (specify)	DVAR=SCSEQ		
1	0	4.c.	Black or	African American			
1	0	4.d.	Native F	Hawaiian or Pacific Islande	r (If 'Yes', please complete 4.	d.1 throu	igh 4.d.4, if 'No', please skip to
			question	4.e.)	, , , , , , , , , , , , , , , , , , , ,		
		Yes	<u>No</u>			QNAM:	=NOTHERS
		1	0	4.d.1. Native Hawaiian		QLABE	L=NATIVE HAWAIIAN
		1	0	4.d.2. Guamanian or Cham	arro	OR PA	CIFIC ISLANDER:
		1	0	4.d.3. Samoan		-	RTEXT
		1	0	4.d.4. Native Hawaiian or P	acific Islander, other (specify	DVAR:	=SCSEQ
1	0	4.e.	White		QNAM=OOTHERS		
1	0	4.f.	Other (sp	pecify)	QLABEL=ETHNICITY/R	ACE:	
1		4.a.	Participa	ant chooses not to answer	OTHER TEXT		
1		-	Unknow		IDVAR=SCSEQ		
	D			CENDTC			

STOP TIME: ___ : ___ (hh:mm, 24 hour clock)*

*If time spent completing the form was not continuous, adjust stop time to account for any breaks,

ASI-LITE (ASL)	QSCAT=ASI-LITE		DOMAIN	: QS PAGE 1 OF 14				
NIDA-CTN-0017	Seq. Num:							
STUDYID NODE: CTP-SITE ID:		STUDY VISIT: (circle one)	Screen/Ba	ase/Rand.				
PARTICIPANT ID:	USUBJID	(onoic one)	VISITNUM					
STAFF ID:	QSEVAL	Assessment Date		QSDTC V Y Y Y				
FORM COM	IPLETION STATUS	1=CRF Administered 2=Participant refused 3=Staff Member did not	5=No Particip	th time to Administer pant Contact				
Participant Date of B	Also used in qsstdtc and qsendtc							
	Medical:	Legal:						
	Employment:	Family:						
	Drug:	Psychia	itric:]				
	Alcohol:			1				

KEY:

Patient Rating Scale

- 0 = Not at all
- 1 = Slightly
- 2 = Moderately
- 3 = Considerably
- 4 = Extremely

Introducing the ASI

Seven potential problem areas: Medical, Employment/Support Status, Alcohol, Drug, Legal, Family/Social, and Psychological. All clients receive this same standard interview. All information gathered is confidential. There are two time periods we will discuss:

- 1. The past 30 days
- 2. Lifetime Data

Patient Rating Scale: Patient input is important. For each area, I will ask you to use this scale to let me know how bothered you have been by any problems in each section. I will also ask you how important treatment is for you for the area being discussed.

Please refer to the Patient Rating Scale in the adjacent key.

If you are uncomfortable giving an answer, then don't answer.

Please do not give inaccurate information!

Interviewer Instructions

- 1.Leave no blanks.
- 2.Make plenty of Comments (if another person reads this ASI, they should have a relatively complete picture of the client's perceptions of his/her problems).
- 3. Throughout the ASI, when noted: X = Question not answered. N = Question not applicable.
- 4. Terminate interview if client misrepresents two or more sections.
- 5. When noting comments, please write the question number.

HALF TIME RULE: If a question asks the number of months, round up periods of 14 days or more to 1 month. Round up 6 months or more to 1 year.

- **CONFIDENCE RATINGS**: ⇒ Last two items in each section.
 - ⇒ Do not over interpret.
 - ⇒ Denial does not warrant misrepresentation.
 - ⇒ Misrepresentation = overt contradiction in information.

Probe and make plenty of comments!

CTN-0017 ASL v1-01.doc Modified: 4/22/2004 Printed: 06/06/2005 10:53 ASL QSCAT=ASI-LITE DOMAIN: QS Page 2 of 14

CTP - Site ID

Participant ID

USUBJID

Assessment Date: ____ / ___ / __ QSDTC

____ y y y y

Hollingshead Categories

- 1 = Higher Executive, Major Professionals, Owner of Large Business
- 2 = Business Manager, Owner (medium sized business), Other Professional (nurse, optician, pharmacist, social worker, teacher)
- 3 = Administrative Personnel, Manager, Owner/Proprietor of Small Business (bakery, car dealership, engraving business, plumbing business, florist, decorator, actor, reporter, travel agent)
- 4 = Clerical and Sales, Technician, Owner of Small Business (bank teller, bookkeeper, clerk, draftsman, timekeeper, secretary, car salesperson)
- 5 = Skilled Manual—usually having had training (baker, barber, brakeman, chef, electrician, fireman, lineman, machinist, mechanic, paperhanger, painter, repairman, tailor, welder, police officer, plumber)
- 6 = Semi-skilled (hospital aide, painter, bartender, bus driver, cutter, cook, drill press, garage guard, checker, waiter, spot welder, machine operator)
- 7 = Unskilled (attendant, janitor, construction help, unspecified labor, porter). Include Unemployed.
- 8 = Homemaker
- 9 = Student/No Occupation/Disabled

List of Commonly Used Drugs

Alcohol: Beer, wine, liquor Methadone: Dolophine, LAAM

Opiates: Pain killers = Morphine, Diluaudid, Demerol, Percocet, Darvon, Talwin, Codeine, Tylenol 2,3,4,

Syrups = Robitussin, Fentanyl

Barbiturates: Nembutal, Seconal, Tuinol, Amytal, Pentobarbital, Secobarbital, Phenobarbital, Fiorinol

Sed/Hyp/Tranq: Benzodiazepines = Valium, Librium, Ativan, Serax, Tranxene, Dalmane, Halcion, Xanax, Miltown,

Other = ChloralHydrate (Noctex), Quaaludes

Cocaine: Cocaine Crystal, Free-Base Cocaine or "Crack, and "Rock Cocaine"

Amphetamines: Monster, Crank, Benzedrine, Dexedrine, Ritalin, Preludin, Methamphetamine, Speed, Ice, Crystal

Cannabis: Marijuana, Hashish

Hallucinogens: LSD (Acid), Mescaline, Mushrooms (Psilocybin), Peyote, Green, PCP (Phencyclidine), Angel Dust, Ecstacy

Inhalants: Nitrous Oxide, Amyl Nitrate (Whippits, Poppers), Glue, Solvents, Gasoline, Toluene, Etc.

Just note if these are used: Antidepressants,

Ulcer Meds = Zantac, Tagamet

Asthma Meds = Ventoline Inhaler, Theodur Other Meds = Antipsychotics, Lithium

Alcohol/Drug Section Instructions

The following questions look at two time periods: the past 30 days and lifetime. Lifetime refers to the time prior to the last 30 days. However if the client has been incarcerated for more than 1 year, you would only gather lifetime information, unless the client admits to significant alcohol /drug use during incarceration. This guideline only applies to the Alcohol/Drug Section.

- ⇒ 30 day questions only require the number of days used.
- ⇒ Lifetime use is asked to determine extended periods of use.
- ⇒ Regular use = 3+ times per week, binges, or problematic irregular use in which normal activities are compromised.
- ⇒ Alcohol to intoxication does not necessarily mean "drunk", use the words "felt the effects", "got a buzz", "high", etc. instead of intoxication. As a rule of thumb, 3+ drinks in one setting, or within a brief period of time defines "intoxication".
- ⇒ How to ask these questions:
 - → "How many days in the past 30 have you used....?
 - → "How many years in your life have you regularly used....?"

ASL QSCATE	ASI-LII	<u> </u>		DOM	IAIN:	QS		Р	age 3	of 14	
CTP - Site I	D 	Particip USUE		Assessment Date:		_ / _	d d	_ / _	у -	QSE y y	TC
	QSSTD :_	(hh:mm, 24	i hour clock)								
QSORRES (G9. Con 1=In 2=Te 3=Ma	QSTEST tact code: person lephone (Intake ASI i ail of <i>Answered</i>	must be in person)			mmei IS D		NO [°]	T EN	NTER	ED
	2=Pa 3=Pa	cial: tient terminated tient refused tient unable to respo of Applicable	nd								
a. Yrs. b. Mos		/ long have you li XX=Not Answered).	ved at your cur	rent address?							
	1=Pr		ous preference? 5=Other (sp 6=None X=Not Answ	pecify)							
	days 1=No 2=Ja 3=Ao	s? [°] A place, theore	tically, without ac 5=Psychiatr 6=Other (sp								
				is "No". Refers to total . (XX=Not Answered)							
QSORRESU='D	AYS'		QSEVLNT=	P30D							

ASL QSCAT=ASI-L	ITE	DOMAIN: QS	Page 4 of 14
CTP - Site ID	Participant ID	Accessment Date:	OSDTO
	USUBJID	Assessment Date: / _ / / _	<u> </u>

MEDICA	L ST	TATUS =QSSCAT QSTEST	
QSORRES	M1.	How many times in your life have you been hospitalized for medical problems?	Comments:
		Include O.D.'s, D.T.'s. Exclude detox, alcohol/drug, and psychiatric treatment and childbirth (if no complications). Enter the number of overnight hospitalizations for medical problems. (XX=Not Answered)	THE DATA NOT ENTEDED
0=No, 1=Yes	МЗ.	Do you have any chronic medical problems which continue to interfere with your life?	THIS DATA NOT ENTERED
		A chronic medical condition is a serious physical or medical condition that requires regular care, (i.e., medication, dietary restriction) preventing full advantage of their abilities. (X=Not Answered)	
		If "Yes," specify:	
0=No, 1=Yes	M4.	Are you taking any prescribed medication on a regular basis for a physical problem?	
		Medication prescribed by a MD for medical conditions; <u>not psychiatric medicines</u> . Include medicines prescribed whether or not the patient is currently taking them. The intent is to verify chronic medical problems. (X= Not Answered)	
		If "Yes," specify:	
0=No, 1=Yes	M5.	Do you receive a pension for a physical disability? (X= Not Answered)	
,		Include Workers' compensation, exclude psychiatric disability.	
		If "Yes," specify:	
		How many days have you experienced medical problems in the past 30 days? QSEVLNT=-P30D	
QSORRESU=	DAY	Do not include ailments directly caused by drugs/alcohol. Include flu, colds, etc. Include serious ailments related to drugs/alcohol, which would continue even if the patient were abstinent (e.g., cirrhosis of liver, abscesses from needles, etc.). (X=Not Answered)	
	For (Questions M7 & M8, please ask the patient to use the	
	Patie	ent Rating scale. QSEVLNT=-P30D	
	M7.	How troubled or bothered have you been by these medical problems in the past 30 days?	
		Restrict response to problem days of Question M6. (X=Not Answered)	
	M8.	How important to you <u>now</u> is treatment for these medical problems?	
		Refers to the need for <i>new or additional</i> medical treatment by the patient. (X=Not Answered)	
	CON	IFIDENCE RATINGS	
	Is the	e above information <u>significantly</u> distorted by:	
0 = No, 1=Yes	M10.	Patient's misrepresentation?	
0=No 4=Va-	M11.	Patient's inability to understand?	

ASL QSCAT=ASI-LITE

DOMAIN: QS

Page 5 of 14

Printed: 06/06/2005 10:53

CTP - Site ID	Participant ID USUBJID	Assessment Date:			/		/	Q	SD	тс
			m	m	d	d	у	У	_у	У

EMPLOYMENT/SUPPORT STATUS = QSSCAT

LIVII LOTIVIL	_14 17	SUFFURI STATUS	
QSORRES	E1.	Education completed? QSTEST	Comments:
a. Yrs. / b. Mos. QSORRESU:	='YF	GED = 12 years. Include formal education only. (XX/XX=Not Answered,)
Q001111200-	E2.	OSORRESU='MONTHS' Training of technical education completed:	THIS DATA NOT ENTERED
Mos.		Formal/organized training only. For military training, only include training that can be used in civilian life (i.e., electronics or computers). (XX=Not	
QSORRESU= IVION		that can be used in civilian life (i.e., electronics or computers). (XX=Not Answered)	
	FΔ	Do you have a valid driver's license? Valid license; not	
0=No, 1=Yes		suspended/revoked. (X=Not Answered)	
		Do you have an automobile available? (If answer to E4 is "No".	
0=No, 1=Yes		then E5 must be "No") Does not require ownership, only requires availability on a regular basis.	
		(X=Not Answered)	
/	E6.	How long was your longest full time job?	
a. Yrs. / b. Mos.		Full time = 35+ hours weekly; does not necessarily mean most recent job	o.
QSORRESU=		(XXXX=Not Answered) RS' QSORRESU='MONTHS'	
		Usual (or last) occupation? (specify):	
		(use Hollingshead Categories Reference Sheet) (X=Not Answered)	
0-N- 4-V		Does someone contribute the majority of your support?	
0=No, 1=Yes		Is patient receiving any regular support (i.e., cash, food, housing) from family/friend? Include spouse's contribution; exclude support by an	
		institution. (X=Not Answered, N=Not Applicable) OSEVLNT=-P3	v
	E10.	Usual employment pattern, past three years?	'
		1 = Full time (35+ hrs/wk) 6 = Retired/Disability 2 = Part time (regular hours) 7 = Unemployed	
		3 = Part time (irreg., day work) 8 = In controlled environment	
		4 = Student (X=Not Answered) 5 = Military Service	
		Answer should represent the <u>majority</u> of the last 3 years, not just the marecent selection. If there are equal times for more than one category,	ost
		select that which best represents the more current situation.	
	E11.	How many days were you paid for working in the past 30	
OCORDECT (D	۸۷۵٬	days? QSEVLNT=-P30D	
QSORRESU='D	AIS	Include "under the table" work, paid sick days, and vacation. (XX=Not Answered)	
Max=\$99999	For 4	questions E12-E17: How much money did you receive	,
<u> </u>		the following sources in the past 30 days?	
\$	E12.	Employment (net income)? (Net or "take home" pay, include any	,
		"under the table" money.) (XXXX=Not Answered)	
\$	E13.	Unemployment Compensation? (XXXXX=Not Answered)	QSEVLNT=-P30D
\$	E14.	Welfare? (Include food stamps, transportation money provided by an agency to go to and from treatment.) (XXXXX=Not Answered)	QSORRESU='DOLLARS'
\$	E15.	Pensions, benefits or Social Security? (Include disability, pensions, retirement, veteran's benefits, SSI & workers' compensation.))

Modified: 4/22/2004

ASL QSCAT	Γ=ASI-	LITE		DOM	AIN	ı: QS		Page	e 6 of 14
CTP - Site	ID 	Participant ID USUBJID	Assessment Date:	m m	_ / _	d d	_ /	у С	SDTC y y
\$ QSORRESU= 'DOLLARS'		Mate, family or friends? (Money for procoding), include unreliable sources of inco cash payments only, include windfalls (unexpanding, inheritance, tax returns, etc.). (X)	personal expenses, (i.e., me (e.g., gambling). Record xpected), money from loans,		mm	ents:			
\$		Illegal? (XXXX=Not Answered) Cash obtained from drug dealing, stealing, gambling, prostitution, etc. Do Not attempt to a dollar value.							
Max = 99		How many people depend on you food, shelter, etc.? Must be regularly depending on patient, do do not include the patient or self-supporting Answered)	include alimony/child support	t, TI	HIS	DAT	a no ⁻	ΓEN	TERED
 QSORRESU='[DAYS'	How many days have you experier problems in the past 30 days? Qs Include inability to find work, if they are acti problems with present job in which that job Answered)	SEVLNT=-P30D vely looking for work, or						
	Rating The patie finding of	estions E20 & E21, ask the patients scale. ent's ratings in Questions E20 & E21 refer to repearing for a job, not giving them a job. How troubled or bothered have you employment problems in the past 3 lf the patient has been incarcerated or detathey cannot have employment problems. (A	o Question E19. Stress help i QSEVLNT=-P30D u been by these 30 days? ined during the past 30 days,						
		How important to you <u>now</u> , is coun employment problems? (X=Not Answ							
	CONF	DENCE RATINGS							
	Is the a	above information <u>significantly</u> disto	orted by:						
0=No, 1=Yes	E23.	Patient's misrepresentation?							
0=No, 1=Yes	E24.	Patient's inability to understand?							

ASL QSCAT=ASI-LIT	E	DOMAIN: QS	Page 7 of 14
CTP - Site ID	Participant ID USUBJID —————	Assessment Date: m _m / _ d _ d / _	QSDTC y y y y
DBUC/ALCOHOL I	ICE		

DRUG/ALCOHOL USE =QSSCAT

Route of Administration Types:

1 = Oral 2 = Nasal 3 = Smoking 4 = Non-IV injection 5 = IV injection

Note the <u>usual or most recent</u> route. For more than one route, choose the most severe. The routes are listed from least severe to most severe. If Past 30 Days and Lifetime Use are zero, route should be coded as "N." (XX=Not Answered)

	QS	EVLNT=-P30)D		
Sub	stance QSTEST	a. Past 30 Days	b. Lifetime Use (Years)	c. Route of Admin.	Comments:
D1.	Alcohol (any use at all)	QSC	RRES		
D2.	Alcohol (to intoxication)				THIS DATA NOT ENTERED
D3.	Heroin	QSORRESU			THIS DATA NOT ENTERED
D4.	Methadone/LAAM (prescribed)	='DAYS'			
D4a.	Methadone/LAAM (illicit)		QSORRESU=	·	
D5.	Other Opiates/Analgesics		'YEARS'		
D6.	Barbiturates				
D7.	Other Sedatives/Hypnotics/Tranquilizers				
D8.	Cocaine				
D9.	Amphetamines				
D10.	Cannabis				
D11.	Hallucinogens				
D12.	Inhalants				
D36.	Nicotine (tobacco products)		l		
D13.	More than 1 substance per day (including alcohol, excluding nicotine)				

 D14.	According to the interviewer, which substance(s) is/are the major problem? Interviewer should determine the major drug or drugs of abuse (excluding Nicotine use). Code the number next to the drug in questions 01-12 (code prescribed or illicit methadone as "04"). "00" = no problem, "15" = alcohol & one or more drugs, "16" = more than one drug, but no alcohol. Ask participant when not clear. (XX=Not Answered)	Comments:
How n	nany times have you:	
 D17.	Had Alcohol DT's? <u>Delirium Tremens</u> (DT's): Occur 24-48 hours after last drink, or significant decrease in alcohol intake. Characterized by shaking, severe disorientation, fever, hallucinations, they usually require medical attention. (XX=Not Answered)	

ASL QSCA	T=ASI-LITE	DO	MAIN: QS	Page 8 of 14
CTP - Site	Participant ID USUBJID	Assessment Date:	///	QSDTC
	QSTEST	m	III u u	у у у у
QSORRES	How many times in your life have you detoxification, halfway houses, in/outpatient counse meetings within one month period). (XX=Not Answer	ling, and AA or NA (if 3+	Comments:	
———	D19. Alcohol abuse?			
	D20. Drug abuse?		THIS DATA N	OT ENTERED
	How many of these were detox only?			
	D21. Alcohol? If D19 = "00", then question Answered)	D21 is "NN" (XX=Not		
	D22. Drugs? If D20 = "00", then question D	22 is "NN" (XX=Not Answered)		
<u>Max =\$99999</u>	How much money would you say you and advis on: Only count actual money spent. We caused by drugs/alcohol? (XXXXX=Not Answered)	•		
	220. 7.1001101.	_NT=-P30D		
\$_'DOLLARS	D24. Drugs?			
———— QSORRESU='DAYS'	D25. How many days have you been tresetting for alcohol or drugs in the past 30 (XX=Not Answered)			
	For questions D28 - D31, please ask the Patient Rating scale. The Patient is rating the need for additional substant Answered) How many days in the past 30 have your part of the past 3	ce abuse treatment. (X=Not		
	D26. Alcohol problems? QSE How troubled or bothered have you be			
QSORRESU='DAYS'	by these:			
	D28. Alcohol problems? QSE\	/LNT=-P30D		
	How important to you now is treatmen	t for these:		
	D30. Alcohol problems?			
QSORRESU='DAYS'	D27. Drug problems? QS Include: Craving, withdrawal symptoms, disturbing stop and being unable to. (XX=Not Answered)	SEVLNT=-P30D		
	How troubled or bothered have you be by these:	en in the past 30 days		
		SEVLNT=-P30D		
	How important to you now is treatmen	t for these:		
	D31. Drug problems?			
	CONFIDENCE RATINGS Is the above information significantly distorted by the state of	orted by:		
0=No, 1=Yes	D34. Patient's misrepresentation?			
0=No, 1=Yes	D35. Patient's inability to understand?			

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ASL QSCAT=ASI-LITE **DOMAIN: QS** Page 9 of 14 Participant ID USUBJID CTP - Site ID Assessment Date: $\underline{\hspace{1cm}}_{\hspace{1cm} m} \underline{\hspace{1cm}}_{\hspace{1cm} m} / \underline{\hspace{1cm}}_{\hspace{1cm} d} \underline{\hspace{1cm}}_{\hspace{1cm} d}$

LEGAL ST	ATUS	=QSSCAT	QSTEST	
QSORRES 0=No, 1=Yes	L1.	Was this admission prompted by the system? Judge, probation/parole officer, etc. (X=Not)	he criminal justice	Comments:
	Note o	Are you on parole or probation? 0=no, neither 2=yes, parole or post release supervis 3=yes, probation or pre-sentencing divaration and level in comments. (X=Not Answ	rersion wered)	THIS DATA NOT ENTERED
How many tim following:	es in yo	our life have you been arrested ar	id charged with the	THIS BATANOT ENTERED
Include total number		s, not just convictions. Do not include juveni lt. Include formal charges only. <i>(XX=Not An</i>		
L3.	Shopliftir	g/Vandalism L´	10. Assault	
L4.	Parole/P	obation Violations L	11. Arson	
L5.	Drug Cha	arges L´	12. Rape	
L6.	Forgery	L	13. Homicide/Manslaughter	
L7.	Weapon	offense L	14. Prostitution	
L8.	Burglary/	Larceny/B&E L´	15. Contempt of court	
L9.	Robbery	L′	16. Other: (specify)	
	L17.	How many of these charges result L3-L16="00", then L17= "NN". Do not from questions L18-L20 below. Convictio incarcerations, suspended sentences, and Answered)	include misdemeanor offenses ns include fines, probation,	
		many times in your life have you	been charged with the	
		wing: Disorderly conduct, vagrancy, pub Answered)	lic intoxication? (XX=Not	
	L19.	Driving while intoxicated (DWI)? (X	(X=Not Answered)	
	L20.	Major driving violations? Moving violations: speeding, reckless driving Answered)	ng, no license, etc. (XX=Not	
Mos. QSORRESU	J=	How many months were you incard If incarcerated <u>2 weeks or more</u> , round this of months incarcerated (up to 99). If more number in comments. (XX=Not Answered)	up to 1 month. List total number than 99, code 99 and enter the	
0 = No, 1=Yes		Are you presently awaiting charges <i>Answered</i>)	s, trial, or sentence? (X=Not	

ASL QSCAT=ASI-	LITE	DON	IAIN: QS	Page 10 of 14
CTP - Site ID	Participant ID	Assessment Date	1	/ OCDTO
	<u>USUBJID</u>	Assessment Date:	- <u> </u>	_ / <u>QSDTC</u> y y y y
QSORRES Los		QSTEST	_	
	What for? (Refers to L24.) Use code 03-1 choose most severe.	16, 18-20. If more than one,	Comments	:
	Don't include civil cases, unless a criminal of	ffense is involved. (XX=Not		
	Answered, NN= Not Applicable) 03 = Shoplifting 12 = Rape			
	04 = Probation violation 13 = Homic	cide		
	05 = Drug	tution		
	06 = Forgery 15 = Conte	•		
	07 = Weapons 16 = Other 08 = Burglary 18 = Disord	derly conduct		
	09 = Robbery 19 = DWI	aony conduct		
	10 = Assault 20 = Major	driving violation	THIS DATA	A NOT ENTERED
1.00	11 = Arson			
L26.	How many days in the past 30, were incarcerated?	VLNT=-P30D		
QSORRESU='DAYS'	Include being arrested and released on the s			
L27.	How many days in the past 30, have	e you engaged in illegal		
QSORRESU='DAYS'		EVLNT=-P30D		
QSORRESU= DATS	Exclude simple drug possession. Include dr stolen goods, etc. May be cross- checked wi			
	Employment/Family Support Section. (XX=N			
	uestions L28 & L29, ask the patie	nt to use the Patient		
<u>Ratir</u>	g scale.			
L28.	How serious do you feel your prese	nt legal problems are?		
	Exclude civil problems. (X=Not Answered)			
L29.	How important to you now is counse	eling or referral for these		
	legal problems?			
	Patient is rating a need for <u>additional</u> referral against criminal charges. (X=Not Answered)	to legal counsel for defense		
CON	FIDENCE RATINGS			
Is the	above information <u>significantly</u> disto	orted by:		
L31.	Patient's misrepresentation?			
0=No, 1=Yes				
	Patient's inability to understand?			
0=No, 1=Yes				

FAMILY/SOCIAL RELATIONSHIPS =QSSCAT **QSORRES** Marital Status Comments: **QSTEST** 1 = Married 3 = Widowed 5 = Divorced 2 = Remarried 4 = Separated 6 = Never Married Common-law marriage = "1." (Specify in comments) (X=Not Answered) F3. Are you satisfied with this situation? (Refers to Question F1) 0=No, 1=Indifferent, Satisfied = generally liking the situation. (X=Not Answered) 2=Yes THIS DATA NOT ENTERED QSEVLNT=-P3Y F4. Usual living arrangements (past 3 years): 1 = With sexual partner & children 6 = With friends 2 = With sexual partner alone 7 = Alone 3 = With children alone 8 = Controlled Environment 4 = With parents 9 = No stable arrangement 5 = With family Choose arrangements most representative of the past 3 years. If there is an even split in time between these arrangements, choose the most recent arrangement. (X=Not Answered) Are you satisfied with these arrangements? 0=No, 1=Indifferent, Refers to response in Question F4. (X=Not Answered) 2=Yes Do you live with anyone who: Has a current alcohol problem? (X=Not Answered) 0=No, 1=Yes F8. Uses non-prescribed drugs? (X=Not Answered) 0=No, 1=Yes With whom do you spend most of your free time? 1=Family, If a girlfriend/boyfriend is considered as a family by patient, then they must 2=Friends, 3=Alone refer to them as family throughout this section, not a friend. Family is not to be referred to as "friend." (X=Not Answered) F10. Are you satisfied with spending your free time this way? (Refers to Question F9.) 0=No. 1=Indifferent. A satisfied response must indicate that the person generally likes the 2=Yes situation. (X=Not Answered) Have you had significant periods in which you have experienced serious problems getting along with: "Serious problems" mean those that endangered the relationship. A "problem" requires contact of some sort, either by telephone or in person. a. Past b. Life-0= No, 1= Yes, (X= Not Answered, N= Not Applicable) 30 Days time F18. Mother F19. Father F20. Brothers/Sisters Sexual Partner/Spouse F21. F22. Children F23. Other Significant Family (Specify _ F24. Close Friends F25. Neighbors Co-workers F26.

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Participant ID CTP - Site ID QSDTC Assessment Date: **USUBJID** QSEVLNT=-P30D a, Past b, Life-0= No, 1= Yes (X=Not Answered) Comments: 30 Days time Did anyone abuse you? **QSTEST QSORRES** Physically? (Caused you physical harm.) F29. Sexually? (Forced sexual advances/acts.) How many days in the past 30 have you had serious conflicts: THIS DATA NOT ENTERED F30. With your family? (XX= Not Answered) For Questions F32 and F34, ask the patient to use the Patient Rating scale. How troubled or bothered have you been in the past 30 days QSEVLNT=-P30D by these: F32. Family problems (X= Not Answered) How important to you now is treatment or counseling for these: F34. Family problems Patient is rating his/her need for counseling for family problems, not whether the family would be willing to attend. (X= Not Answered) How many days in the past 30 have you had serious conflicts: QSEVLNT=-P30D F31. With other people (excluding family)? (XX= Not Answered) For Questions F33 and F35, ask the patient to use the Patient Rating scale. How troubled or bothered have you been in the past 30 days by these: QSEVLNT=-P30D F33. Social problems (X= Not Answered) How important to you now is treatment or counseling for these: F35. Social problems Include patient's need to seek treatment for such social problems as loneliness, inability to socialize, and dissatisfaction with friends. Patient rating should refer to dissatisfaction, conflicts, or other serious problems. Exclude problems that would be eliminated if patient had no substance abuse. (X= Not Answered) CONFIDENCE RATING Is the above information significantly distorted by: Patient's misrepresentation? 0=No, 1=Yes F38. Patient's inability to understand? 0=No, 1=Yes

DOMAIN: QS

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ASL QSCAT=ASI-LITE

ASLQSCAT=ASI-LITE

DOMAIN: QS
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CTP - Site ID
USUBJID
Assessment Date: ____ / __ QSDTC
____ y y y y

PSYCHIATRIC STATUS =QSSCAT

OSTEST

	QUILUI	
	How many times have you been treated for any psychological or emotional problems:	Comments:
	Do not include substance abuse, employment, or family counseling. Treatment episode = a series of more or less continuous visits or treatment days, not the number of visits or treatment days. Enter diagnosis in comments if known.	
QSORRES ———	P1. In a Hospital or inpatient setting? (XX= Not Answered)	
——	P2. Outpatient/private patient? (XX= Not Answered)	THIS DATA NOT ENTERED
0=No, 1=Yes	P3. Do you receive a pension for a psychiatric disability? (X=Not Answered)	
QSEVLNT=-P30D	Have you had a significant period of time (that was not a direct result of alcohol/drug use) in which you have:	
a. Past b. Life- <u>30 Days</u> <u>time</u>	0=No, 1=Yes, (X= Not Answered)	
	P4. Experienced serious depression-sadness, hopelessness, loss of interest, difficulty with daily function?	
	P5. Experienced serious anxiety/tension-uptight, unreasonably worried, inability to feel relaxed?	
— —	P6. Experienced hallucinations-saw things or heard voices that other people did not see or hear?	
	P7. Experienced trouble understanding, concentrating, or remembering?	
	For questions P8-P10, patient could have been under the influence of alcohol/drugs.	
	P8. Experienced trouble controlling violent behavior including episodes of rage, or violence? (Patient can be under the influence of alcohol/drugs.)	
	P9. Experienced serious thoughts of suicide? Patient seriously considered a plan for taking his/her life.	
	P10. Attempted suicide? (Include actual suicidal gestures or attempts.)	
	P11. Been prescribed medication for any psychological or emotional problems? (Prescribed for the patient by MD. Record "Yes" if a medication was prescribed even if the patient is not taking it.)	
——	P12. How many days in the past 30 have you experienced these psychological or emotional problems? (This refers to problems noted in Questions P4-P10.) (XX=Not Answered)	

ASL QSCA	T=ASI-L	ITE	DOMAIN: QS					Page 14 of 14			
CTP - Si	ite ID	Assessment Date:						QSDTC			
				m	m	d	d	}	/ у	у у	
QSORRES		psychological or emotional probler (Patient should be rating the problem days (X= Not Answered)	EVLNT=-P30D or bothered by these ns in the past 30 days? from Question P12.)		Cor	nme	nts:				
	CONF	IDENCE RATING	QSTEST								
	Is the	above information <u>significantly</u> disto	orted by:		THIS DATA NOT ENTER				ITEDED		
0=No, 1=Yes	P22.	Patient's misrepresentation?			ΙHI	IS D	AIA	NO) I Er	NIERED	
0=No, 1=Yes	P23.	Patient's inability to understand?									
	QSEN	DTC									

STOP TIME: ____: ___ (hh:mm, 24 hour clock)*
*If time spent completing the form was not continuous, adjust stop time to account for any breaks.

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INTERVENTION SES	SION ATTENDANCE	(ISA)	DOMAIN	I: TU PAGE 1 OF 1			
NIDA-CTN-0017	HIV AND HCV RIS	K REDUCTION IN		Seq. Num :			
STUDYID NODE: CTP-SITE ID: PARTICIPANT ID:	USUBJID	EPOCH STUDY VISIT: (circle one)	Intervention	n/2 Week FUP			
STAFF ID:		Assessment Dat	re: /	/ TUDTC			
FORM COMPLETION STATUS 1=CRF Administered 2=Participant refused 3=Staff Member did not Administer 6=Other							
TUTI		•					
1. Intervention S	ession number:TU	ORRES					
2. Did participant attend session? 0=No 1=Yes -9=Unknown							
3. Date of session	n://	(mm/dd/yyyy)					
4. Interventionist	ID:						
Interventionist	signature:	THI	S DATA NOT ENT	ΓERED			

	INTER	RVEN	тіон Б	PHAS	SE TERMINATION (II	PT)	DO	MAIN: DSpage 1 of 1
			N-0017	7			ERVENTIONS IN DRUG MENT SETTINGS	Seq. Num :
ST	UDYI	D	NODE	E:		STUDY VISIT:	EPOCH Intervention/2	Mark EUD
	CTP-SITE ID:				(circle one)	VISITNUM VISITNUM	vveek FUP	
	PAR	TICIP	ANT IE	D: _	USUBJID	Assessment Da	ate: / [DSDTC
		ST	AFF I	D: _			M M D D	Y Y Y Y
		_ FC	RM C	ОМР	LETION STATUS	1=CRF Administere 2=Participant refuse 3=Staff Member did	d 5=No Particip	h time to Administer pant Contact
	•				Coordinator/Interventionis			_
DSCA PHA			VENTI PLETE	- 4	Date of Intervention Ph	nase completion or		DSENDTC / dd /yyyy)
	DSO	CCU	R 二	2.	Number of Intervention	n sessions attended	by the Participant.	
	YES	NO	UNK					
	1	0	-9	3.	Did the Participant con then complete questions 4	1 through 13.)	ion Phase? (If Yes, then skip to QNAM=IPNOSES	
					REASON FOR EARL		QLABEL=# OF INTERVENT DVAR=DSSEQ	ION SESSIONS ATTENDED
	YES	NO	UNK		Complete this section if a	nswered "No" or "Link	" in guestion 3	DSCAT=EARLY
	1	0	-9	4.	Was the Participant di	scharged from the corrections of the correction of the corrections of the correction	CTP for administrative reason ompliance with CTP rules, etc.)	_{rts} RMINATION
	1	0	-9	5.	Was the Participant dis (i.e., active disruption of c		e intervention phase for adm on-compliance, etc.)	ninistrative reasons?
	1	0	-9	6.	Was the Participant dis (i.e., concurrent illness, se		e intervention phase for clini	cal reasons?
	1	0	-9	7.	Was the Participant di	scontinued at his/h	er request?	
	1	0	-9	8.	Was the Participant di	scontinued because	e he/she did not attend the I	ntervention visit(s)?
	1	0	-9	9.	Was the intervention p		for another reason?	
				10.	If any question above	was answered Yes	, then provide details:	
						QNAM=DSSP	ECET	
						QLABEL=SPE	CIFY REASON FOR EARL'	Y TERMINATION
						IDVAR=DSSE	Q	
	YES	NO	UNK		CONTINUATION	DSCA	T= CONTINUATION	
	1	0	-9	11.	Is the participant eligib	ole to continue into	the follow-up phase of the st	tudy?
	YES	NO	UNK					
			-	12.	1=Counseling and Ed 2=Therapeutic Allianc	ucation Intervention e Intervention	IDVAR=DSSEQ	ATION GRP ASSIGNMENT
					(If '1', then end questionna			
	1	0	-9	13.	outpat ient appointmen QNAM=IPTAPF	It at the end of the T PT	ntervention group, did the pa F A session?	rticipant make an
					QLABEL=DID F	PART MAKE APPT		

IDVAR=DSSEQ

DOMAIN: QS

ASI-LITE FOLLOW-UP (ASF) QSCAT=ASI-LITE FOLLOW-UP

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NIDA-CTN-0017	HIV AND HCV RISK DETOXIFICAT	ION AND TREATM			Seq. Num:
STUDYID NODE:		EPOCH			
CTP-SITE ID:		STUDY VISIT: (circle one)	2 Month FUP	4 Month F	UP 6 Month FUP
PARTICIPANT ID:	USUBJID	(0010 0.110)	VISITN	JM	
STAFF ID:	QSEVAL	Assessment Date:	M M / D	$\frac{1}{D}$ /QSD $\frac{1}{Y}$	$\frac{TC}{Y} \frac{Y}{Y} \frac{Y}{Y}$
FORM COM	PLETION STATUS	1=CRF Administered 2=Participant refused 3=Staff Member did not	Administer	4=Not enough 5=No Participa 6=Other	time to Administer nt Contact
					1

THIS DATA NOT ENTERED Participant Date of Birth (mm/dd/yyyy): ___

Composite Scores

(for site use only): Medical: Legal: Employment: Family: Drug: Psychiatric: Alcohol:

Also used in qsstdtc and gsendtc

Patient Rating Scale

- 0 = Not at all
- 1 = Slightly
- 2 = Moderately
- 3 = Considerably
- 4 = Extremely

Introducing the ASI

Seven potential problem areas: Medical, Employment/Support Status, Alcohol, Drug, Legal, Family/Social, and Psychological. All clients receive this same standard interview. All information gathered is confidential. There are two time periods we will discuss:

- 1. The past 30 days
- 2. Lifetime Data

Patient Rating Scale: Patient input is important. For each area, I will ask you to use this scale to let me know how bothered you have been by any problems in each section. I will also ask you how important treatment is for you for the area being discussed.

Please refer to the Patient Rating Scale in the adjacent key.

If you are uncomfortable giving an answer, then don't answer.

Please do not give inaccurate information!

Interviewer Instructions

Leave no blanks.

HALF TIME RULE:

- 2. Make plenty of comments (if another person reads this ASI, they should have a relatively complete picture of the client's perceptions of his/her problems).
- 3. Throughout the ASI, when noted X = Question not answered.

N = Question not applicable.

- 4. Terminate interview if client misrepresents two or more sections.
- 5. When noting comments, please write the question number.

If a question asks the number of months, round up periods of 14 days or more to 1 month. Round up 6 months or more to 1 year.

CONFIDENCE RATINGS: ⇒ Last two items in each section.

- ⇒ Do not over interpret.
- ⇒ Denial does not warrant misrepresentation.
- ⇒ Misrepresentation = overt contradiction in information.

Probe and make plenty of comments!

DOMAIN: QS PAGE 2 OF 14

Hollingshead Categories

- 1 = Higher Executive, Major Professionals, Owner of Large Business
- 2 = Business Manager, Owner (medium sized business), Other Professional (nurse, optician, pharmacist, social worker, teacher)
- 3 = Administrative Personnel, Manager, Owner/Proprietor of Small Business (bakery, car dealership, engraving business, plumbing business, florist, decorator, actor, reporter, travel agent)
- 4 = Clerical and Sales, Technician, Owner of Small Business (bank teller, bookkeeper, clerk, draftsman, timekeeper, secretary, car salesperson)
- 5 = Skilled Manual—usually having had training (baker, barber, brakeman, chef, electrician, fireman, lineman, machinist, mechanic, paperhanger, painter, repairman, tailor, welder, police officer, plumber)
- 6 = Semi-skilled (hospital aide, painter, bartender, bus driver, cutter, cook, drill press, garage guard, checker, waiter, spot welder, machine operator)
- 7 = Unskilled (attendant, janitor, construction help, unspecified labor, porter). Include Unemployed.
- 8 = Homemaker
- 9 = Student/No Occupation/Disabled

List of Commonly Used Drugs

Alcohol: Beer, wine, liquor Methadone: Dolophine, LAAM

Opiates: Pain killers = Morphine, Diluaudid, Demerol, Percocet, Darvon, Talwin, Codeine, Tylenol 2,3,4,

Syrups = Robitussin, Fentanyl

Barbiturates: Nembutal, Seconal, Tuinol, Amytal, Pentobarbital, Secobarbital, Phenobarbital, Fiorinol

Sed/Hyp/Tranq: Benzodiazepines = Valium, Librium, Ativan, Serax, Tranxene, Dalmane, Halcion, Xanax, Miltown,

Other = ChloralHydrate (Noctex), Quaaludes

Cocaine: Cocaine Crystal, Free-Base Cocaine or "Crack, and "Rock Cocaine"

Amphetamines: Monster, Crank, Benzedrine, Dexedrine, Ritalin, Preludin, Methamphetamine, Speed, Ice, Crystal

Cannabis: Marijuana, Hashish

Hallucinogens: LSD (Acid), Mescaline, Mushrooms (Psilocybin), Peyote, Green, PCP (Phencyclidine), Angel Dust, Ecstacy

Inhalants: Nitrous Oxide, Amyl Nitrate (Whippits, Poppers), Glue, Solvents, Gasoline, Toluene, Etc.

Just note if these are used: Antidepressants,

Ulcer Meds = Zantac, Tagamet

Asthma Meds = Ventoline Inhaler, Theodur Other Meds = Antipsychotics, Lithium

Alcohol/Drug Section Instructions

The following questions look at two time periods: the past 30 days and lifetime. Lifetime refers to the time prior to the last 30 days. However if the client has been incarcerated for more than 1 year, you would only gather lifetime information, unless the client admits to significant alcohol/drug use during incarceration. This guideline only applies to the Alcohol/Drug Section.

- ⇒ 30 day questions only require the number of days used.
- ⇒ Lifetime use is asked to determine extended periods of use.
- ⇒ Regular use = 3+ times per week, binges, or problematic irregular use in which normal activities are compromised.
- ⇒ Alcohol to intoxication does not necessarily mean "drunk", use the words "felt the effects", "got a buzz", "high", etc. instead of intoxication. As a rule of thumb, 3+ drinks in one setting, or within a brief period of time defines "intoxication".
- ⇒ How to ask these questions:
 - → "How many days in the past 30 have you used....?"
 - → "How many years in your life have you regularly used....?"

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ASF QSCAT	=ASI-LITE FOLLOW-U	Р		DOMAIN: QS	Page 3 of 1
CTP - Site II	Participa		Assessment Da	te: / _ d	/ QSDTC y y y y
START TIME:	QSSTDTC	hour clock)			
-	IFORMATION =QS	SSCAT			
QSORRES G9.	QSTEST Contact code: 1=In person 2=Telephone (Intake ASI must) 3=Mail X=Not Answered	be in person)		Comments:	OT ENTERED
G12	Special: 1=Patient terminated 2=Patient refused 3=Patient unable to respond N=Not Applicable	QSEVLN	T=-P30D		
G19	Have you been in a contr days? A place, theoretically, 1=No 2=Jail 3=Alcohol or Drug Treatment 4=Medical Treatment		drugs/alcohol. c Treatment ecify		
G20	. How many days? "NN" if q number of days detained in the				
	QSORRESU='D	AYS'			

QSEVLNT=-P30D

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/	ASF QSCAT=ASI-LI	TE FOLLOW-UP	DOMAIN: QS PAGE	4 of 14
	CTP - Site ID -	Participant ID USUBJID	Assessment Date: / / QSDTC	

<u>MEDICAI</u>	_ ST	ATUS =QSSCAT	
QSORRES	M1.	QS1ES1	Comments:
		Include O.D.'s, D.T.'s. Exclude detox, alcohol/drug, and psychiatric treatment and childbirth (if no complications). Enter the number of oxen.org/doi.	THIS DATA NOT ENTERED
0=No, 1=Yes	M4.	Are you taking any prescribed medication on a regular basis for a physical problem?	
		Medication prescribed by a MD for medical conditions; not psychiatric medicines. Include medicines prescribed whether or not the patient is currently taking them. The intent is to verify chronic medical problems. (X= Not Answered)	
		If "Yes," specify:	
0=No, 1=Yes	M5.	Do you receive a pension for a physical disability? (X= Not Answered)	
		Include Workers' compensation, exclude psychiatric disability.	
		If "Yes," specify:	
	M6.	How many days have you experienced medical problems in	
QSORRESU=	DAYS	the past 30 days? QSEVLNT=-P30D Do not include ailments directly caused by drugs/alcohol. Include flu, colds, etc. Include serious ailments related to drugs/alcohol, which would continue even if the patient were abstinent (e.g., cirrhosis of liver, abscesses from needles, etc.). (X=Not Answered)	
	For	Questions M7 & M8, please ask the patient to use the	
	Patie	ent Rating scale. QSEVLNT=-P30D	
	M7.	How troubled or bothered have you been by these medical problems in the past 30 days?	
		Restrict response to problem days of Question M6. (X=Not Answered)	
	M8.	How important to you <u>now</u> is treatment for these medical problems?	
		Refers to the need for <u>new or additional</u> medical treatment by the patient. $(X=Not\ Answered)$	
	CON	IFIDENCE RATINGS	
	Is the	e above information <u>significantly</u> distorted by:	
0=No, 1=Yes	M10.	Patient's misrepresentation?	
0=No, 1=Yes	M11.	Patient's inability to understand?	

CTP - Site ID

Participant ID USUBJID

Assessment Date: $\underline{\qquad}$ $\underline{\qquad}$ / $\underline{\qquad}$ $\underline{\qquad}$ / $\underline{\qquad}$ $\underline{\qquad}$ / $\underline{\qquad}$ $\underline{\qquad}$ $\underline{\qquad}$ $\underline{\qquad}$ $\underline{\qquad}$ $\underline{\qquad}$ $\underline{\qquad}$ $\underline{\qquad}$ $\underline{\qquad}$ $\underline{\qquad}$

EMPLOYMENT/SUPPORT STATUS = QSSCAT

a. Yrs. / b. Mos.		Education completed since your last ASI? QSTEST GED = 12 years. Include formal education only. (XXXX=Not Answered) RS QSORRESU='MONTHS'	Comments:
	E2.	Training or technical education completed since your last	THIS DATA NOT ENTERED
Mos. QSORRESU='M	1ONT	ASI:	ITIIO DATA NOT ENTERED
0000000		that can be used in civilian life (i.e., electronics or computers). (XX=Not Answered)	
QSORRES 0=No, 1=Yes	E4. I	Do you have a valid driver's license? Valid license; not suspended/revoked. (X=Not Answered)	
0 = No, 1=Yes		Do you have an automobile available? (<u>If answer to E4 is "No",</u> then <u>E5 must be "No"</u>)	
0-NO, 1-1es		Does not require ownership, only requires availability on a regular basis. (X=Not Answered)	
		Usual (or last) occupation since your last ASI? (specify:)	
		(Use Hollingshead Categories Reference Sheet) (X=Not Answered)	
- 	E9. I	Does someone contribute the majority of your support?	
0=No, 1=Yes	1	Is patient receiving any regular support (i.e., cash, food, housing) from family/friend? Include spouse's contribution; exclude support by an institution. (X=Not Answered, N=Not Applicable)	
	E11.	How many days were you paid for working in the past 30	
QSORRESU:	='DAY	days? QSEVLNT=-P30D Include "under the table" work, paid sick days, and vacation. (XX=Not Answered)	
<u>Max=\$99999</u>		uestions E12-E17: How much money did you receive the following sources in the past 30 days?	
\$	E12.	Employment? (<u>Net</u> or "take home" pay, include any "under the table" money.) (XXXX=Not Answered)	
\$	E13.	Unemployment Compensation? (XXXX=Not Answered)	
\$	E14.	Welfare? (Include food stamps, transportation money provided by an agency to go to and from treatment.) (XXXX=Not Answered)	
\$	E15.	Pensions, benefits or Social Security? (Include disability, pensions, retirement, veteran's benefits, SSI & workers' compensation.) (XXXXX=Not Answered)	QSORRESU='DOLLARS'
\$	E16.	Mate, family or friends? (Money for personal expenses, (i.e., clothing), include unreliable sources of income (e.g., gambling). Record cash payments only, include windfalls (unexpected), money from loans, gambling, inheritance, tax returns, etc.). (XXXX=Not Answered)	QSEVLNT=-P30D
\$	E17.	Illegal? (XXXX=Not Answered)	
		Cash obtained from drug dealing, stealing, fencing stolen goods, gambling, prostitution, etc. <i>Do Not</i> attempt to convert drugs exchanged to a dollar value.	
	E18.	How many people depend on you for the majority of their	
Max = 99		food, shelter, etc.? Must be regularly depending on patient, do include alimony/child support, do not include the patient or self-supporting spouse, etc. (XX=Not Answered)	

ASF QSCAT=ASI-LITE FOLLOW-UP **DOMAIN: QS** Page 6 of 14 Participant ID USUBJID CTP - Site ID **QSDTC** m m / d Assessment Date: у у у у **QSORRES QSTEST** E19. How many days have you experienced employment Comments: problems in the past 30 days? QSEVLNT=-P30D Include inability to find work, if they are actively looking for work, or problems with present job in which that job is jeopardized. (XX=Not THIS DATA NOT ENTERED QSORRESU='DAYS' For Questions E20 & E21, ask the patient to use the Patient Rating scale. The patient's ratings in Questions E20 & E21 refer to Question E19. Stress help in finding or preparing for a job, not giving them a job. QSEVLNT=-P30D E20. How troubled or bothered have you been by these employment problems in the past 30 days? If the patient has been incarcerated or detained during the past 30 days, they cannot have employment problems. (X=Not Answered) E21. How important to you <u>now</u>, is counseling for these employment problems? (X=Not Answered) **CONFIDENCE RATINGS** Is the above information significantly distorted by: E23. Patient's misrepresentation? 0=No, 1=Yes E24. Patient's inability to understand? 0=No, 1=Yes

ASF	QSCAT=ASI-LITE FOLLOW-UP
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DOMAIN: QS PAGE 7 OF 14

CTP - Site ID	Participant ID USUBJID	Assessment Date:			/		, (QSD	TC	
-			m	m	d	d	у	у	у	у

DRUG/ALCOHOL USE =QSSCAT

Route	of A	dmin	istrat	ion	Types
Noute	017	(unini	แจนสเ	IUII	IVDES

1 = Oral 2 = Nasal 3 = Smoking 4 = Non-IV injection 5 = IV injection

Note the <u>usual or most recent</u> route. For more than one route, choose the most severe. The routes are listed from least severe to most severe. If Past 30 Days is zero, route should be coded as "N." (XX=Not Answered) QSORRESU='DAYS' QSEVLNT=-P30D

Sub	stance QSTEST	a. Past 30 Days		c. Route of Admin.	Comments:
D1.	Alcohol (any use at all)	QSORRES			
D2.	Alcohol (to intoxication)				
D3.	Heroin				THIS DATA NOT ENTERED
D4.	Methadone/LAAM (prescribed)				
D4a.	Methadone/LAAM (illicit)				
D5.	Other Opiates/Analgesics				
D6.	Barbiturates				
D7.	Other Sedatives/Hypnotics/Tranquilizers				
D8.	Cocaine	l —— I			
D9.	Amphetamines				
D10.	Cannabis				
D11.	Hallucinogens				
D12.	Inhalants				
D36.	Nicotine (tobacco products)				
D13.	More than 1 substance per day (including alcohol, excluding nicotine)				
_	D14. According to the interviewer, which substance(s) is/are the major problem? Interviewer should determine the major drug or drugs of abuse (excluding Nicotine use). Code the number next to the drug in questions 01-12, (code prescribed or illicit methadone as "04"). "00" = no problem. "15" = alcohol & one or more drugs. "16" = more than one				Comments:

 D14.	According to the interviewer, which substance(s) is/are the major problem? Interviewer should determine the major drug or drugs of abuse (excluding Nicotine use). Code the number next—to the drug in questions 01-12, (code prescribed or illicit methadone as "04"). "00" = no problem, "15" = alcohol & one or more drugs, "16" = more than one drug but no alcohol. Ask participant when not clear. (XX=Not Answered)	Comments:
How m	nany times since your last ASI have you:	
 D17.	Had Alcohol DT's? <u>Delirium Tremens</u> (DT's): Occur 24-48 hours after last drink, or significant decrease in alcohol intake. Characterized by shaking, severe disorientation, fever, hallucinations, they usually require medical attention. (XX=Not Answered)	

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ASFQSCAT=ASI-LITE FOLLOW-UP

Participant ID

USUBJID

CTP - Site ID

0=No, 1=Yes

DOMAIN: QS

Assessment Date:

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QSDTC

d m m **QSTEST** How many times since your last ASI have you been treated for: Comments: Include detoxification, halfway houses, in/outpatient counseling, and AA or NA (if 3+ meetings within one month period). (XX=Not Answered) **QSORRES** D19. Alcohol abuse? THIS DATA NOT ENTERED D20. Drug abuse? How many of these were detox only? Alcohol? If D19 = "00", then question D21 is "NN" (XX=Not Answered) D22. Drugs? If D20 = "00", then question D22 is "NN" (XX=Not Answered) How much money would you say you spent during the past 30 days <u>Max</u> On: Only count actual money spent. What is the financial burden caused by =\$99999 drugs/alcohol? (XXXXX=Not Answered) QSEVLNT=-P30D D23. Alcohol? D24. QSORRESU='DOLLARS' Drugs? How many days have you been treated in an outpatient D25 setting for alcohol or drugs in the past 30 days? (Include QSORRESU='DAYS'AA/NA) (XX=Not Answered) For questions D28 - D31, please ask the patient to use the Patient Rating scale. The Patient is rating the need for additional substance abuse treatment. (X=Not How many days in the past 30 have you experienced: D26. Alcohol problems? QSEVLNT=-P30D How troubled or bothered have you been in the past 30 days by QSORRESU='DAYS these: QSEVLNT=-P30D D28. Alcohol problems? How important to you now is treatment for these: D30. Alcohol problems? How many days in the past 30 have you experienced: D27. Drug problems? QSEVLNT=-P30D, Include: Craving, withdrawal symptoms, disturbing effects of use, or wanting to stop QSORRESU='DAYS' and being unable to. (XX=Not Answered) How troubled or bothered have you been in the past 30 days by these: QSEVLNT=-P30D D29. Drug problems? How important to you now is treatment for these: D31. Drug problems? **CONFIDENCE RATINGS** Is the above information significantly distorted by: Patient's misrepresentation? D34 0=No, 1=Yes Patient's inability to understand? D35.

ASF QSCAT=A	SI-LITE FOLLOW-UP	DC	DOMAIN: QS	
CTP - Site ID	Participant ID USUBJID	Assessment Date:	/	/ QSDTC
	_		m m d d	у у у у
LEGAL STAT QSORRES	EUS =QSSCAT QSTEST Are you on parole or probation?		Comments:	
	0=no, neither 2=yes, parole or post release supervisi 3=yes, probation or pre-sentencing dividuration and level in comments. (X=Not Answ	ersion	Gomments.	
with the following:	ince your last ASI have you been a linclude total number of counts, not just consess they were charged as an adult. Include for	victions. Do not include juvenile		
L3. S	hoplifting/Vandalism L	10. Assault	THIS DATA	NOT ENTERE
L4. P	arde/Probation Violations L	11. Arson	ITIIS DATA	MOTENTERE
L5. D	rug Charges L	12. Rape		
L6. F	orgery L	13. Homicide/Manslaughter		
L7. V	leapons Offense L	14. Prostitution		
L8. B	urglary/Larceny/B&E L	15. Contempt of court		
L9. R	obbery L	16. Other: (specify)		
L17.	How many of these charges resulte If L3-L16= "00", then L17="NN". Do not increase incarcerations, suspended sentences, and answered)	clude misdemeanor offenses sinclude fines, probation,		
	many times since your last ASI ha the following:	ve you been charged		
L18.	Disorderly conduct, vagrancy, publi <i>Answered</i>)	ic intoxication? (XX=Not		
L19.	Driving while intoxicated (DWI)? (XX=	=Not Answered)		
L20.	Major driving violations? Moving violations: speeding, reckless driving Answered)	g, no license, etc. (XX=Not		
L21. Mos. RRESU='MONTHS	How many months were you incard ASI? (XX=Not Answered) If incarcerated 2 weeks or more, round this of months incarcerated.	·		
0=No. 1=Yes	Are you presently awaiting charges	s, trial, or sentence? (X=Not		

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ASF QSCAT=ASI-LITE FOLLOW-UP **DOMAIN: QS** Page 10 of 14 Participant ID USUBJID CTP - Site ID **QSDTC** Assessment Date: m m **QSTEST QSORRES** L25. What for? (Refers to L24.) Use code 03-16, 18-20. If more than one, Comments: choose most severe. Don't include civil cases, unless a criminal offense is involved. (XX=Not Answered, NN= Not Applicable) 03 = Shoplifting 12 = Rape 04 = Probation violation 13 = Homicide THIS DATA NOT ENTERED 05 = Drug 14 = Prostitution 06 = Forgery 15 = Contempt 07 = Weapons 16 = Other 08 = Burglary 18 = Disorderly conduct 09 = Robbery 19 = D\M 10 = Assault 20 = Major driving violation 11 = Arson L26. How many days in the past 30, were you detained or QSORRESU='DAYS QSEVLNT=-P30D incarcerated? Include being arrested and released on the same day. (XX=Not L27. How many days in the past 30, have you engaged in QSORRESU='DAYS' illegal activities for profit? QSEVLNT=-P30D Exclude simple drug possession. Include drug dealing, prostitution, selling stolen goods, etc. May be cross- checked with Question E17 under Employment/Family Support Section. (XX=Not Answered) For questions L28 & L29, ask the patient to use the Patient Rating scale. L28. How serious do you feel your present legal problems are? Exclude civil problems. (X=Not Answered) L29. How important to you now is counseling or referral for these legal problems? Patient is rating a need for additional referral to legal counsel for defense against criminal charges. (X=Not Answered) **CONFIDENCE RATINGS** Is the above information significantly distorted by: L31. Patient's misrepresentation? 0=No, 1=Yes L32. Patient's inability to understand? 0=No, 1=Yes

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ASF QSCAT=ASI-LI	TE FOLLOW-UP	DOMAIN: QS PAGE 11 of 1			3E 11 OF 14
CTP - Site ID	Participant ID USUBJID —————	Assessment Date: m _m	/ /	QS y	DTC y y

FAMILY/SOCIAL RELATIONSHIPS =QSSCAT

QSORRES	F1. Marital Status QSTEST	Comments:
	1 = Married 3 = Widowed 5 = Divorced 2 = Remarried 4 = Separated 6 = Never Married Common-law marriage = "1." (Specify in comments) (X=Not Answered)	
0=No, 1=Indifferent, 2=Yes	F3. Are you satisfied with this situation? (Refers to Question F1) Satisfied = generally liking the situation. (X=Not Answered)	THE DATA NOT ENTERED
	F4. Living arrangements (Since your last ASI): 1 = With sexual partner & children 6 = With friends 2 = With sexual partner alone 7 = Alone 3 = With children alone 8 = Controlled Environment 4 = With parents 9 = No stable arrangement 5 = With family Choose arrangements most representative of the time since your last ASI. If there is an even split in time between these arrangements, choose the most recent arrangement. (X=Not Answered)	THIS DATA NOT ENTERED
0=No, 1=Indifferent, 2=Yes	F6. Are you satisfied with these arrangements? Refers to response in Question F4. (X=Not Answered)	
	Do you live with anyone who:	
0=No, 1=Yes	F7. Has a current alcohol problem? (X=Not Answered)	
0=No, 1=Yes	F8. Uses non-prescribed drugs? (X=Not Answered)	
1=Family, 2=Friends, 3=Alone	F9. With whom do you spend most of your free time? If a girlfriend/boyfriend is considered as a family by patient, then they must refer to them as family throughout this section, not a friend. Family is not to be referred to as "friend." (X=Not Answered)	
0=No, 1=Indifferent, 2=Yes	F10. Are you satisfied with spending your free time this way? (Refers to Question F9.) A satisfied response must indicate that the person generally likes the situation. (X=Not Answered)	
problems g	ad significant periods in which you have experienced serious etting along with: "Serious problems" mean those that endangered the "problem" requires contact of some sort, either by telephone or in person.	
a. Past 30 <u>Days</u>	0= No, 1= Yes, (X= Not Answered, N= Not Applicable)	
	F18. Mother	
	F19. Father	
	F20. Brothers/Sisters	
	F21. Sexual Partner/Spouse	QSEVLNT=-P30D
	F22. Children	
	F23. Other Significant Family (Specify)	
	F24. Close Friends	
	F25. Neighbors	
	F26. Co-workers	

Participant ID USUBJID CTP - Site ID QSDTC Assessment Date: QSEVLNT=-P30D a. Past 30 0= No, 1= Yes (X=Not Answered) Comments: Days Did anyone abuse you? **QSTEST QSORRES** F28. Physically? (Caused you physical harm.) THIS DATA NOT ENTERED F29. Sexually? (Forced sexual advances/acts.) How many days in the past 30 have you had serious conflicts: F30. With your family? (XX= Not Answered) QSORRESU='DAY For Questions F32 and F34, ask the patient to use the Patient Rating scale. How troubled or bothered have you been in the past 30 days by these: F32. Family problems (X= Not Answered) How important to you now is treatment or counseling for these: F34. Family problems Patient is rating his/her need for counseling for family problems, not whether the family would be willing to attend. (X= Not Answered) How many days in the past 30 have you had serious conflicts: F31. With other people (excluding family)? (XX= Not Answered) QSORRESU='DAY QSEVLNT=-P30D S' For Questions F33 and F35, ask the patient to use the Patient Rating scale. How troubled or bothered have you been in the past 30 days by these: F33. Social problems (X= Not Answered) How important to you now is treatment or counseling for these: F35. Social problems Include patient's need to seek treatment for such social problems as loneliness, inability to socialize, and dissatisfaction with friends. Patient rating should refer to dissatisfaction, conflicts, or other serious problems. Exclude problems that would be eliminated if patient had no substance abuse. (X= Not Answered) CONFIDENCE RATING Is the above information significantly distorted by: F37. Patient's misrepresentation? 0=No. 1=Yes F38. Patient's inability to understand? 0=No, 1=Yes

DOMAIN: QS

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ASF QSCAT=ASI-LITE FOLLOW-UP

ASFQSCAT=ASI-LITE FOLLOW-UP

DOMAIN: QS PAGE 13 OF 14

CTP - Site ID Participant ID USUBJID Assessment Date: / QSDTC

PSYCHIATRIC STATUS =QSSCAT Comments: How many times since your last ASI have you been treated for any psychological or emotional problems: Do not include substance abuse, employment, or family counseling. Treatment episode = a series of more or less continuous visits or treatment days, not the number of visits or treatment days. Enter diagnosis in comments if known. **QSORRES** P1. In a Hospital or inpatient setting? (XX= Not Answered) P2. Outpatient/private patient? (XX= Not Answered) THIS DATA NOT ENTERED P3. Do you receive a pension for a psychiatric disability? (x=Not 0=No, 1=Yes Answered) Have you had a significant period of time (that was not a QSEVLNT=-P30D direct result of alcohol/drug use) in which you have: a. Past 30 0=No, 1=Yes, (X= Not Answered) **Days** P4. Experienced serious depression-sadness, hopelessness, loss of interest, difficulty with daily function? P5. Experienced serious anxiety/tension-uptight, unreasonably worried, inability to feel relaxed? P6. Experienced hallucinations-saw things or heard voices that other people did not see or hear? P7. Experienced trouble understanding, concentrating, or remembering? For questions P8-P10, patient could have been under the influence of alcohol/drugs. P8. Experienced trouble controlling violent behavior including episodes of rage, or violence? (Patient can be under the influence of alcohol/drugs.) P9. Experienced serious thoughts of suicide? Patient seriously considered a plan for taking his/her life. P10. Attempted suicide? (Include actual suicidal gestures or attempts.) P11. Been prescribed medication for any psychological or emotional problems? (Prescribed for the patient by MD. Record "Yes" if a medication was prescribed even if the patient is not taking it.) P12. How many days in the past 30 have you experienced these psychological or emotional problems? (This refers to problems

noted in Questions P4-P10.) (XX= Not Answered)

ASF QSC	CAT=ASI-LIT	E FOLLOW-UP		DOMAIN:	QS	Page 14 of 14	
CTP	- Site ID	Participant ID USUBJID	Assessment Date	/	/	QSDTC y y y	
QSORRES	P13. How mu psychol- (Patient sl Answered	uch have you been troubled or bo ogical or emotional problems in t hould be rating the problem days from Qi	othered by these he past 30 days? Juestion P12.) (X= Not or these	e s?			
	CONFIDENC		L				
0=No, 1=Yes		nformation <u>significantly</u> distorted s misrepresentation?	by:				
0=No, 1=Yes		s inability to understand?					
	QSENDTC						
STOP TIME *If time spen	· — — · —	(hh:mm, 24 hour clock)* e form was not continuous. adiust	stop time to account f	or anv breaks.			

DRUG USE SCREENING (DUS)

DOMAIN: SU PAGE 1 OF 1

NIDA-CTN-0017	HIV AND HCV RISK DETOXIFICAT	REDUCTION INT			Seg. Num:
STUDYID NODE:		EPOCH			
CTP-SITE ID:		STUDY VISIT: (circle one)		Screen/Base/	
PARTICIPANT ID:	USUBJID			VISITNU	
STAFF ID:		Assessment Date	e://	/	$\frac{SUDTC}{\overline{Y} \ \overline{Y}}$
FORM COM	PLETION STATUS	1=CRF Administere 2=Participant refuse 3=Staff Member did	ed	4=Not enough to 5=No Participal 6=Other	time to Administer nt Contact
OLIOTO	T-0		•	•	•

SUSTDTC START TIME: ___ : ___ (hh:mm, 24 hour clock)

SUCAT=DRUG/ALCOHOL USE

For each of the following substances, please indicate whether the substance has been used in the past 30 days. Also indicate whether the substance was ever administered by injection (IV or Non-IV).

SUTR	SUEVLINT=-P30D		A. PAST 30 I	DAYS	В.	EVER BY INJ	ECTION
	SUBSTANCE	NO	YES	NOT ASSESSED	NO	YES	NOT ASSESSED
1.	Alcohol (any use at all) SUOCCU	R 0	1	-5			
2.	Alcohol (to intoxication)	0	1	-5		SUROL	ITE
3.	Heroin	0	1	-5	0	1	-5
4.	Methadone/LAAM (prescribed)	0	1	-5	0	1	-5
5.	Methadone/LAAM (illicit)	0	1	-5	0	1	-5
6.	Other Opiates/Analgesics	0	1	-5	0	1	-5
7.	Barbiturates	0	1	-5	0	1	-5
8.	Other Sedatives/Hypnotics/Tranquilizers	0	1	-5	0	1	-5
9.	Cocaine	0	1	-5	0	1	-5
10.	Amphetamines	0	1	-5	0	1	-5
11.	Cannabis	0	1	-5	0	1	-5
12.	Hallucinogens	0	1	-5	0	1	-5
13.	Inhalants	0	1	-5	0	1	-5
14.	Nicotine (tobacco products)	0	1	-5			
15.	More than 1 substance per day (including alcohol, excluding nicotine)	0	1	-5	0	1	-5

SUCAT=MAJOR DRUG PROBLEM

16. According to the interviewer, which substance(s) is/are the major problem? SUTRT

Interviewer should determine the major drug or drugs of abuse (excluding Nicotine use). Code the number next to the drug in questions 01-13. "00" = no problem, "15" = alcohol & one or more drugs, "16" = more than one drug but no alcohol. Ask participant when not clear.

STOP TIME: ____ : ___ (hh:mm, 24 hour clock)*
*If time spent completing the form was not continuous, adjust stop time to account for any breaks.

SELF-EFFICACY (SEF) QSCAT=SELF-EFFICACY

DOMAIN: QS

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NIDA-CTN-0017	HIV AND HCV RISK DETOXIFICAT	REDUCTION INTE			Seq. Num:	
STUDYID NODE:	<u> </u>	EPOCH STUDY VISIT:	Screen/Base/F	Rand. Interv	ention/2 Week FUP	
CTP-SITE ID:		(circle one)	2 Month FUP 4 Month FUP 6 Month FUP			
PARTICIPANT ID:	USUBJID	Assessment Date	:/	/QSDTC		
STAFF ID:	QSEVAL		M M D	D Y	Y Y Y	
FORM COM	PLETION STATUS	1=CRF Administered 2=Participant refused 3=Staff Member did n		4=Not enough t 5=No Participar 6=Other	ime to Administer nt Contact	

(Research Assistant Completed)

[Using the scale of 1-5 (Strongly Disagree to Strongly Agree) on Handcard TXSELFEFF], Please tell me how much you agree or disagree with the following statements:

OSORRES

				<u> </u>	INEO			
	QSTEST	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree	Refuse to answer	Don't know
1	You can imagine a time when you might need a fix so badly that you would inject with previously used works.	1	2	3	4	5	-1	-2
2	You would feel uncomfortable talking to a sex partner about using condoms (barriers).	1	2	3	4	5	-1	-2
3	If you had sex with someone "new", there are things you would not do because of AIDS.	1	2	3	4	5	-1	-2
4	If someone "new" tells you they know they don't have HIV, you would feel alright having unprotected sex.	1	2	3	4	5	-1	-2
5	If you were injecting with someone "new", you would feel comfortable discussing safe injection practices such as bleaching.	1	2	3	4	5	-1	-2
6	If someone "new" offered you their used syringe, you would not use it without bleaching.	1	2	3	4	5	-1	-2
7	If someone "new" objected to condoms (barriers), you would not have sex with that person.	1	2	3	4	5	-1	-2
8	If someone says the works are clean, you would inject with them without cleaning.	1	2	3	4	5	-1	-2

STAGE OF CHANGE (SOC) QSCAT=STAGE OF CHANGE

DOMAIN: QS

PAGE 1 OF 2 HIV AND HCV RISK REDUCTION INTERVENTIONS IN DRUG NIDA-CTN-0017 **DETOXIFICATION AND TREATMENT SETTINGS** Seq. Num: _ STUDYID NODE: EPOCH STUDY VISIT: Screen/Base/Rand. Intervention/2 Week FUP VISITNUM (circle one) 2 Month FUP 4 Month FUP 6 Month FUP CTP-SITE ID: **USUBJID** PARTICIPANT ID: **QSDTC** Assessment Date: $\frac{1}{M} \frac{1}{M} \frac{1}{D} \frac{1}{D} \frac{1}{D} \frac{QSDT}{Y}$ STAFF ID: <u>QSEVAL</u> 1=CRF Administered 4=Not enough time to Administer FORM COMPLETION STATUS 2=Participant refused 5=No Participant Contact 3=Staff Member did not Administer 6=Other

(Research Assistant Completed)

QSTEST

1. ___ Has the client injected drugs (heroin, cocaine, speed, etc.) in the last 30 days?

1 = Yes **QSORRES** 0 = No

(If No, skip to Q10. If YES, complete Q2-9 and end questionnaire)

QSEVLINT=-P30D

SOC QSCAT=STAGE OF CHANGE

Page 2 of 2 CTP - Site ID Participant ID USUBJID Assessment Date: m m

DOMAIN: QS

The following statements refer to heroin, cocaine, or speed (not marijuana or alcohol). [Using Handcard TXOC1]

Please tell me how much you agree or disagree with the following:

#	QSTEST	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree Agree	Strongly SA Agree	Refuse to Answer	Don't Know
2	Your drug use is a problem for you.	1	2	3	4	5	-1	-2
3	Your drug use is more trouble than it's worth.	1	2	3	4	5	-1	-2
4	You plan to quit using drugs in the next six months.	1	2	3	4	5 QSEVL	-1 -INT=P6	-2 SM
5	You are ready to quit using drugs right now.	1	2	3	4	5	-1	-2
6	You want to make changes in your use of drugs but feel you can't right now.	1	2	3	4	5	-1	-2
7	You plan to quit using drugs in the next 30 days.	1	2	3	4	5 QSEVL	-1 JNT=P3	-2 80D
8	You're going to quit using drugs someday, but not right now.	1	2	3	4	5	-1	-2
9	Part of you wants to keep using drugs and another part of you wants to quit.	1	2	3	4	5	-1	-2
10	You are sure you can stay off drugs for the next 30 days.	1	2	3	4	5 QSEVLIN	-1 T=P30E	-2)
11	You often want to use drugs.	1	2	3	4	5	-1	-2
12	You can use drugs occasionally and not get addicted.	1	2	3	4	5	-1	-2
13	You can hang out with people who use drugs.	1	2	3	4	5	-1	-2
14	You are sure you can stay off drugs for the next 6 months.	1	2	3	4	5 QSEVLI	-1 NT=P6N	-2 / I

QSCAT=SERVICES RECEIVED QUESTIONNAIRE

SERVICES RECEIVED QUESTIONNAIRE (SRQ)

DOMAIN: QS

PAGE 1 OF 2

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NIDA-CTN-0017		RVENTIONS IN DRUG MENT SETTINGS Sea. Num:				
	DETOXIFICAT		MENT SETTINGS Seq. Num: _			
STUDYID NODE:	<u> </u>	STUDY VISIT:	Screen/ [२३६व/हिधान्त्र] Intervention/2 Week	vention/2 Week FUP		
CTP-SITE ID:		(circle one)	Coroco PSTALIAOIMI IIIICIVOINIONIZ VICERI			
PARTICIPANT ID:	USUBJID	Assessment Date				
STAFF ID:	M M D D Y Y Y Y					
FORM COM	PLETION STATUS	1=CRF Administered 4=Not enough time to Administer 2=Participant refused 5=No Participant Contact 3=Staff Member did not Administer 6=Other				

(Study Coordinator or Research Assistant Completed)

For the Baseline visit read:

For the following questions, please think about the services you may have received during your stay here:

For the Intervention/2-Week Follow-Up visit read:

For the following questions, please think about the services you may have received <u>since our last visit:</u>

QSTEST

				QSTEST						
	Yes	No		HIV/HC	v					
QS	1 SORRE	0 S	1.	During your stay, did any treatment staff member conduct an HIV or HCV risk assessment with you? (If NO, skip to question 6)						
			2.	Approximately how long did the assessment take? (minutes) QSORRESU='MINUTES'						
	1	0	3.	Were yo	u referred for HIV or HCV testing? (If NO, skip to question 6)					
	1	0	4.	If you we	ere referred, have you gone to the referral? (If YES, skip to question 6)					
	1	0	5.	Do you t	hink you will go to the referral?					
	Yes	No		TREAT	MENT					
	1	0	6.		our stay, did any treatment staff member recommend that you attend further it once you leave detox? (If NO, end questionnaire)					
			7.	Approxir	nately how long was this discussion? (minutes) QSORRESU='MINUTES'					
				To what	type of treatment were you referred?					
	1	0	8a.	Outpatie	nt alcohol or drug (AOD) therapy or counseling (If NO, skip to 8b)					
				Yes N						
				1 0	Have you gone to the referral? (If YES, skip to Q8b)					
				1 (Do you think you will go to the referral?					
	1	0	8b.	Residen	tial treatment (AOD) (If NO, skip to 8c)					
				Yes N						
				1 0	Have you gone to the referral? (If YES, skip to Q8c)					
				1 (Do you think you will go to the referral?					

QSCAT=SERVICES RECEIVED QUESTIONNAIRE

DOMAIN: QS SRQ PAGE 2 OF 2

CTP - Site ID	Participant ID USUBJID	Assessment Date: / QSDTC
		m m d d y y y y

					QSTEST	
Yes	No		TRE	ATME	NT	
QSOR	RES		To w	hat ty	pe of treatment were you referred?	
1	0	8c.	Inpat	ient ho	espital treatment (AOD) (If NO, skip to 8d)	
			Yes	No		
			1	0	Have you gone to the referral? (If YES, skip to Q8d)	
			1	0	Do you think you will go to the referral?	
1	0	8d.	Meth	adone	maintenance or other opiate replacement treatment (IF)	VO, skip to 8e)
			Yes	No		
			1	0	Have you gone to the referral? (If YES, skip to Q8e)	
			1	0	Do you think you will go to the referral?	
1	0	8e.	12-st	ep me	etings (AOD) (If NO, skip to 8f)	
			Yes	No		
			1	0	Have you gone to the referral? (If YES, skip to Q8f)	
			1	0	Do you think you will go to the referral?	
1	0	8f.	Medi	cation	treatment (AOD) (If NO, skip to 8g)	
			Yes	No		
			1	0	Have you gone to the referral? (If YES, skip to Q8g)	
			1	0	Do you think you will go to the referral?	
1	0	8g.	Docto	or or m	nedical clinic visit (AOD) treatment (If NO, skip to 8h)	
			Yes	No		
			1	0	Have you gone to the referral? (If YES, skip to Q8h)	
			1	0	Do you think you will go to the referral?	
1	0	8h.	Othe	r (AOF	(If NO, end questionnaire)	
'		011.				
			(Plea	se De	scribe:	
)	
			Yes	No		
			1	0	Have you gone to the referral? (If YES, end questionnaire)	
			1	0	Do you think you will go to the referral?	

SALIVA TEST (ST)	LBCAT=SALIVA T	EST		OMAIN: L	В	PAGE 1 OF 1
NIDA-CTN-0017	HIV AND HCV RISK F DETOXIFICATI	REDUCTION INTI ON AND TREAT			Seq.	LBGRPID Num :
STUDYID NODE:		EPOCH				
CTP-SITE ID:		STUDY VISIT: (circle one)		Screen/Base/F	Rand.	
PARTICIPANT ID:	USUBJID	VISITNUN	1			
STAFF ID:		Assessment Da	ate: / M M D	/	<u> </u>	
FORM COM	PLETION STATUS	1=CRF Administere 2=Participant refuse 3=Staff Member did	ed	4=Not enough 5=No Participa 6=Other		
	:(hh:mm, 24 hour		LIVA TEST VI			
·	as performed://_d					
3. Saliva Test result:4. COMMENTS:	(0=Negative, 1= LBORRES	=Positive, 2=Indeterm	inate) If sample wa	as lost, skip ques	stion 3.	
	DOMAIN: CO					
	COVAL RDOMAIN=I B					
	IDVAR=LBSEQ					_
	COREF=SALIVA	TEST				
STOP TIME:: *If time spent completing	(hh:mm, 24 hour of the form was not continue	clock)* ous, adjust stop time	e to account for a	nny breaks.		
QNAM=LBSTPTI QLABEL=SALIVA IDVAR = LBSEQ	A TEST STOP TIME					

CTN-0017 ST v1-01.doc Modified: 4/22/2004 Printed: 6/7/2005 9:37 AM

OKINE DROG SCRE	EN (ODS)			FAGE LOFT
NIDA-CTN-0017		REDUCTION INTER	RVENTIONS IN DRUG ENT SETTINGS	Seq. NumLBGRPID
STUDYID NODE:		EPOCH	Screen/Base/Rand. Int	ervention/2 Week FUP
CTP-SITE ID:		STUDY VISIT: (circle one)	2 Month FUP 4 Month	
PARTICIPANT ID:	USUBJID	VISITNUM		2 T.S. 2 INCOMES T.S.
STAFF ID:		Assessment Date		Y Y Y
FORM COM	PLETION STATUS	1=CRF Administered 2=Participant refused 3=Staff Member did no	5=No Particip	h time to Administer pant Contact
START TIME:		our clock) QLAB	1=LBVISDTC EL=URINE COLLECT 2s=2=LOSTBPIDe, -9=Unkno	
If 0 or -9, enter stop tim	ne and end questionnaire. If 2,	answer only questions 1 & 2	, enter stop time and end question	
0 = No 1 = Yes	QNAM = LBTEMP	TEMP WITHIN E	perature 92°F≤X≤96°F <u>OR</u> XPECTED RANGE	≥83.3°C)
4. Was this urine co	llection supervised?			
0 = No 1 = Yes -9 = Unkn	QNAM = LBSUP OWN QLABEL = URIN IDVAR = LBGRF	E COLLECTION S	SUPERVISED	

	tle the number representing the result e screen for each substance below.)	Negative	Positive	Unclear or Equivocal	Results Not Valid	Not Assessed
5.	Amphetamines (AMP)	0	1BOF	RRES 2	3	-5
6.	Barbiturates (BAR)	0	1	2	3	-5
7.	Benzodiazepines (BZO)	0	1	2	3	-5
8.	Methadone (MTD)	0	1	2	3	-5
9.	Tricyclic Antidepressants (TCA)	0	1	2	3	-5
10.	Cocaine metabolites (COC)	0	1	2	3	-5
11.	Methamphetamines (M-AMP)	0	1	2	3	-5
12.	Opiates/Morphine (MOR)	0	1	2	3	-5
13.	Phencyclidine (PCP)	0	1	2	3	-5
14.	Cannabinoids (THC)	0	1	2	3	-5

STOP TIME: _____: ____ (hh:mm, 24 hour clock)*
*If time spent completing the form was not continuous, adjust stop time to account for any breaks.

QNAM=LBSTPTM

QLABEL=URINE COLLECTION STOP TIME

IDVAR = LBGRPID

CTN-0017 UDS v1-01.doc

Modified: 4/20/2004

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DOMAIN: DS VISIT FORM (VIS) PAGE 1 OF 1 HIV AND HCV RISK REDUCTION INTERVENTIONS IN DRUG NIDA-CTN-0017 **DETOXIFICATION AND TREATMENT SETTINGS** Seq. Num: STUDYID **EPOCH** NODE: Screen/Base/Rand. Intervention/2 Week FUP STUDY VISIT: CTP-SITE ID: 2 Month FUP 4 Month FUP 6 Month FUP **USUBJID** PARTICIPANT ID: Assessment Date: STAFF ID: М 1=CRF Administered 4=Not enough time to Administer FORM COMPLETION STATUS 2=Participant refused 5=No Participant Contact 3=Staff Member did not Administer 6=Other (Research Assistant Completed) 1. Did participant attend visit? DSCAT=VISIT DSTERM 0=No 1=Yes **DSOCCUR** If NO, specify the reason(s) participant did not attend visit: 1a. Declined to continue study participation NOTE: ONLY YES RESPONSES ARE 0=No 1=Yes RETAINED (Except for VIS001) 1b. Moved from area 0=No 1=Yes 1c. Incarceration 0=No 1=Yes 1d. Death 0=No 1=Yes 1e. Don't know ('no-show') 1=Yes 1f. Other reason If yes specify below. 0=No QNAM=VSOTHER 1=Yes QLABEL=OTHER REASON VISIT NOT ATTENDED IDVAR=DSSEQ 1g. Other, specify:

QSCAT=SUBSTANCE USE DIAGNOSES

SUBSTANCE USE DIAGNOSES

Page 1 of 1

DOMAIN: QS

NIDA-CTN-0017	HIV AND HCV RISK REDU Studyid	HIV AND HCV RISK REDUCTION INTERVENTIONS IN DRUG DETOXIFICATION AND STUDYID TREATMENT SETTINGS								
NODE:		EPOCH STUDY VISIT:								
CTP-SITE ID: PARTICIPANT ID:	USUBJID	STUDY VISIT: (circle one)	VISITNUM Scre	een/Base/Rand.						
STAFF ID:	QSEVAL	Assessment Date:	$\frac{1}{M} / \frac{1}{D} = \frac{1}{D} / \frac{\text{QSDTC}}{\text{Y Y Y Y Y}}$	-						
FORI	M COMPLETION STATUS		3=Staff Member did not Administer 4=Not enough time to Administer	5=No Participant Contact 6=Other						

Diagnoses shaded gray (4,7,8,9,10) are optional. All others (1,2,3,5,6) are required.

Diagnoses shad	ed gr	ay (4	1,7,8,	9,10)	are	optic	<u>nal</u> .	All	othe	rs (1	,2,3,	5,6)	are <u>r</u>	equi	<u>ed</u> .															
START TIME: _		<i>:</i> _		_(hh	:mm,	24 h	our c	lock)																						
QSSTDTC		A. Screened In J1/J1A Abuse Abuse Recency Code from J10 QSORRES									D. ende de fr J21		E. Dependence Recency Full Criteria Code from J22					F. Dependence Recency Any Criteria Code from J23												
QSTEST	Υ	N	ΙE	Υ	N	ΙE								Υ	N	ΙE														
1. Alcohol	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	5	1	-5	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
	Scr	A. reene L4A		,	B. Abus L11	e									D. ende de fr L22			·	ende Ful Code	l Crit	eria	·		F. Dependence Recency Any Criteria Code from L24						
2. Marijuana	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	5	1	-5	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
3. Stimulants	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	5	1	-5	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
4. Sedatives	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	5	1	-5	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
5. Opioids	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	5	1	-5	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
6. Cocaine	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	5	1	٠'n	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
7. PCP	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	5	1	-5	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
8. Psychedelics	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	5	1	-5	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
9. Inhalants	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	5	1	-5	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
10. Other Specify:	5	1	-5	5	1	-5	1	2	3	4	5	6	-5	4	1	-5	1	2	3	4	5	6	-5	1	2	3	4	5	6	-5
STOP TIME:	SE	NE	OTO	(hh:n	nm, 2	4 hou	ır clo	ck)	*lf til	ne s _l	ent (comp	letin	g the	form	was	not c	ontir	านอนร	s, adj	ust s	top t	ime t	o acc	coun	for a	ny b	reaks),	

BRIEF CODING INSTRUCTIONS FOR THE CIDI CRF (To be attached to the back of the CRF)

ALCOHOL

Column	If both J1=1 and J1A = 1 (never had 12+ drinks)	Circle "1" AND skip to Drug Section
Α	If either J1=5 or J1A = 5	Circle "5" and continue with Column B
	If Interviewer Error	Circle "-5" and skip to Drug Section
Column	If J10 is coded "5" (Yes) (i.e., at least one "5" coded in J6-J9)	Circle "5" Yes, continue with Column C
В	If J10 is coded "1" (No) (i.e., J6, J7 & 7A, J8, J9 are all "1")	Circle "1" No, skip to Column D
	If Interviewer Error	Circle "-5" and skip to Column D
Column	If column B is coded "5"	Circle the J10 Recency code (1 - 6) in Column C
С	If column B is coded "1"	Skip Column C, and go to Column D
	If Interviewer Error	Circle "-5"
Column	If J21 is coded "5"	Circle "5"
D	If J21 is coded "1" or blank due to Skip instruction on J20	Circle "1" and go to Drug Section
	If Interviewer Error	Circle "-5" and go to Drug Section
Column	If Column D is coded "5"	Circle the J22 Recency code (1 - 6) in Column E
E	If Column D is coded "1"	Skip Columns E and F, and go to Drug section
	If Interviewer Error	Circle "-5"
Column	If J23 is completed AND column D is coded "5"	Circle the J23 Recency code (1 - 6)
F	If J23 is not completed, OR if J23 is completed & Column D is coded "1"	Skip Column F and go to the Drug Section
	If Interviewer Error	Circle "-5"

DRUG (repeat for each Drug Category assessed)

Column	If column A within the chart in question L4A = 1 for that drug category	Circle "1" AND skip to next Drug category
Α	If column A within the chart in question L4A = 5 for that drug category	Circle "5" and continue with Column B
	If Interviewer Error	Circle "-5" and skip to next drug category
Column B	If L11 is completed for that drug (i.e., at least one "5" coded in L8-L10)	Circle "5" Yes, continue with Column C
	If L11 not completed for that drug (i.e., L8A, L9B, L9C, L10A are all "1")	Circle "1" No, skip to Column D
	If Interviewer Error	Circle "-5" and skip to Column D
Column	If column B is coded "5"	Circle the L11 Recency code (1 - 6) in Column C
С	If column B is coded "1"	Skip Column C, and go to Column D
	If Interviewer Error	Circle "-5"
Column	If L22 is coded "5" for that drug category	Circle "5", continue with Column E
D	If L22 is coded "1" or blank for that drug category	Circle "1" and go to next drug category
	If Interviewer Error	Circle "-5" and go to next drug category
Column	If Column D is coded "5"	Circle the L22 Recency code (1 - 6) in Column E
Е	If Column D is coded "1"	Skip Columns E & F, and go to next drug category
	If Interviewer Error	Circle ("-5"
Column	If L24 is completed AND column D is coded "5"	Circle the L24 Recency code (1 - 6)
F	If L24 not completed, OR if L24 is completed and Column D is coded "1"	Skip Column F and go to the next drug category
	If Interviewer Error	Circle "-5"

TIMELINE FOLLOWBACK (TFB)

PAGE 1 OF 2

NIDA-CTN-0017	HIV AND HCV RISK REDUCTIO DETOXIFICATION AND T		RUG Seq. Num:	CRF Num
NODE:	STUDYID	STUDY VISIT:	Intervention/2 Week FUP	2 Month FUP
CTP-SITE ID:	USUBJID	(circle one) VIS	SITNUM 4 Month FUP 6	Month FUP
PARTICIPANT ID:		Assessment Date:	OSDTC QSDTC	
STAFF ID:	QSEVAL	M M	D D Y Y Y Y	
F0	RM COMPLETION STATUS	1=CRF Administered 2=Participant refused 3=Staff Member did not Administ	4=Not enough time to Administe 5=No Participant Contact ter 6=Other	er

(Research Assistant/Site Coordinator Completed)

1. Did the participant receive any treatment for alcohol or drug use since their last study encounter (i.e. since participating in the study intervention, or since their last Study Visit)? (If No, questionnaire is completed. If Yes, follow the instructions below to complete this questionnaire.)

1=Yes, 0=No

Using the Timeline Followback calendar, list all the dates for which the participant indicated treatment in column A (where the date is circled on the calendar). On days when the participant indicated more than one treatment, write the date as many times as necessary in column A to report each treatment on a separate line. Circle the corresponding number in column B for the type of treatment received. Specify the name of the agency in column C if the type of treatment was an outpatient visit (1 or 8). Report if any medication was received as part of the alcohol or drug treatment in column D, and if so, specify in column E.

Treatment Only)
ep meetings (AA or NA) cation treatment or or medical clinic visit r. Please describe.
(

	QSTEST A. DATE (mm/dd/yyyy)	B. TYPE OF TREATMENT							MENT	C. NAME OF AGENCY (For Outpatient Visits- B=1 or 8)	Did you medicati	D. I receive on as part eatment? NO	E. What medication did you receive?
1		1	2	3	4	5	6	7	8		1	0	
2		1	2	3	4	5	6	7	8		1	0	
3		1	2	3	4	5	6	7	8	QSORRES	1	0	
4		1	2	3	4	5	6	7	8		1	0	
5		1	2	3	4	5	6	7	8		1	0	
6		1	2	3	4	5	6	7	8		1	0	
7		1	2	3	4	5	6	7	8		1	0	

QSCAT=TIMELINE FOLLOWBACK

DOMAIN: QS

TFB PAGE 2 OF 2 QSDTC Participant ID CTP - Site ID **USUBJID** __-

	A. DATE (mm/dd/yyyy)	B. QSTEST TYPE OF TREATMENT							MENT	C. NAME OF AGENCY (For Outpatient Visits- B=1 or 8)	Did you medication of this tre YES	receive on as part	E. What medication did you receive?
8		1	2	3	4	5	6	7	8		1	0	
9		1	2	3	4	5	6	7	8	QSORRES	1	0	
10		1	2	3	4	5	6	7	8		1	0	
11		1	2	3	4	5	6	7	8		1	0	
12		1	2	3	4	5	6	7	8		1	0	
13		1	2	3	4	5	6	7	8		1	0	
14		1	2	3	4	5	6	7	8		1	0	
15		1	2	3	4	5	6	7	8		1	0	
16		1	2	3	4	5	6	7	8		1	0	
17		1	2	3	4	5	6	7	8		1	0	
18		1	2	3	4	5	6	7	8		1	0	
19		1	2	3	4	5	6	7	8		1	0	
20		1	2	3	4	5	6	7	8		1	0	
21		1	2	3	4	5	6	7	8		1	0	
22		1	2	3	4	5	6	7	8		1	0	
23		1	2	3	4	5	6	7	8		1	0	
24		1	2	3	4	5	6	7	8		1	0	
25		1	2	3	4	5	6	7	8		1	0	
26		1	2	3	4	5	6	7	8		1	0	
27		1	2	3	4	5	6	7	8		1	0	
28		1	2	3	4	5	6	7	8		1	0	

Foll	-ow-u	JP P I	HASE	TERMINATION (FU	T)	DOM	AIN: DS PAGE 1 OF
	A-CT			HIV AND HCV RISK I	REDUCTION INTE	ERVENTIONS IN DRUG	
STU	DYID				STUDY VISIT: (circle one)	IFERO SH 2 Week F VISITNUM 4 Month FUP	
PAF	RTICIP				Assessment Da	ate: / / / _	DSDTC
	ST	AFF	ID:	USUBJID	1=CRF Administere		y y y y gh time to Administer
_	F0	RM C	COME	PLETION STATUS	2=Participant refuse 3=Staff Member did	d 5=No Partic	sipant Contact
(Rese	arch As	ssistar	nt/Site	Coordinator Completed) SCAT=FOLLOW-	UP PHASE CO	OMPLETED	
DS	occi	UR 	1.	Date of Follow-Up Pha DSTERM/DSD Number of Follow-Up F	ECOD	rmination:////	DSSTDT C _{mm} / dd /yyyy
YES	NO	UNK					
1	0	-9	3.	Did the Participant com (If YES, then end question)		Phase? en complete questions 4 throug	gh 8.)
				REASON FOR TERMI	NATION DSCAT	=TERMINATION	
YES	NO	UNK		Complete this section only	if answered, "No" or "	'Unk" in question 3.	
1	0	-9	4.	Did the Participant refu	se to continue (disc	ontinue at his/her request)	?
1	0	-9	5.	Was the Participant los	t to follow-up (lost o	contact)?	
1	0	-9	6.	Was the Participant ter	minated for clinical	reasons?	
1	0	-9	6a.	Did the participant die?	•		
1	0	-9	6b.	Did the participant with	draw consent?		
1	0	-9	7.	Was the Participant ter	minated for some o	ther reason? (If yes, describe	in question 8.)
			8.	If any question above v QNAM=DSSPEC QLABEL=SPEC IDVAR=DSSEQ	CT IFY REASON FO	then provide details:	
	NAM= LABEL			S ULLOWUP VISITS ATTI	ENDED		

CTN-0017 FUT v2-01.doc Modified: 9/13/2004 Printed: 6/6/2005 11:56 AM

IDVAR=DSSEQ

CTN-0017 ASL v1-01.doc Modified: 4/22/2004 Printed: 06/06/2005 10:53

Adverse Events	(AE)		DOMAIN: A	AE PAGE 1 OF 2
NIDA-CTN-0017		EDUCTION INTER ON AND TREATME		Seq. Num:
STUDYID NODE:		EPOCH STUDY VISIT:	Intervention/2 Week FU	JP 2 Month FUP
CTP-SITE ID:		(circle one)	4 Month FUP	6 Month FUP
PARTICIPANT ID:	USUBJID		VISITNUM	
STAFF ID:		Assessment Dat	te:/_/ / /AE	EDTC Y Y Y Y
FORM COM	PLETION STATUS	1=CRF Administered 2=Participant refused 3=Staff Member did n	5=No Partici	gh time to Administer pant Contact
(Research Assistant/Site	e Coordinator/Interventionis	st Completed)		

Did the participant have any new or changed serious or study-related AE since the last assessment? AEs are reflected in the AE file. Otherwise this field not databased. 0=No (End questionnaire) 1=Yes **AESPID** AE Number **AETERM** AE Term (Brief Description):_ Change in severity of previously reported AE? QNAM=AESEVCHG 4a. QLABEL=AE CHANGE IN SEVERITY 0=No (Skip to question 5) IDVAR=AESEQ (If Yes) Previous AE Number: ____ ___ QNAM=AESEVNUM QLABEL=PREVIOUS AE NUMBER AESER_ 5. Serious IDVAR=AESEQ 0=No 1=Yes* Onset Date ___/__/___(mm/dd/yyyy) AESTDTC **AESEV** Severity 1=Mild 2=Moderate 3=Severe AEREL Study Related? 1=Reasonable 2=Not Reasonable **AEACN** Action Taken 1=None 2=Stop Intervention 3=Medical Care 4=Hospitalization*

AE			PAGE 2 OF 2
CTP - Site ID	Participant ID USUBJID	Assessment Date: /	$-\frac{1}{d}$ / $\frac{AEDTC}{y}$
AEOUT — 10	Final Outcome 1=Resolved 2=Resolved with Sequelae (E) 3=Not resolved (Skip question 4=Death* 5=Change in Severity (worsen	n 11)	
11.	. Final Outcome Date/_	/(mm/dd/yyyy)	AEENDTC
12. Comments (100 Characters	Max):		
	DOMAIN: CO COVAL RDOMAIN=AE IDVAR=VISITNUM COREF+AE PAGE T	WO	
13. Signature: THIS DA	TA NOT ENTERED	Date signed:///	(mm/dd/yyyy)

* All Serious Adverse Events (SAE) must have a complete AE CRF, SAE Form, SAE Summary Report. Initial and date the AE Log once these steps are completed.

Report AE/SAEs in accordance with the study protocol and local IRB requirements

SAEs must be reported within 24 hours

SERIOUS ADVE	ERS	E EVENTS FOR	RM				DON	IAIN: A	PAGE 1 OF 4	
NIDA-CTN-0017			HIV AND HCV RISK REDUCTION INTERVENTIONS IN DRUG DETOXIFICATION AND TREATMENT SETTINGS							
Participant ID:	Node: _			CTP-Site I	D:		_			
Assessment Da	ate:		EDTC		Staff ID: _		_			
				ΔF	SER=Y					
AESPID	Α.	Adverse Event num								
	1.	Type of Report: 1=Initial 2=Follow-Up	QLA	M=AER BEL=TY AR=AES	PE OF REPO	ORT				
		SERIOUS ADVERS	E EVENT	DETAILS	3					
_	2a.	AE Term(s) (brief de	scription):		AETER	M				
_										
_										
_	2h	Determination of Se	rious (Selec	et one):						
AESDTH=1		1=Death 2=Life-threatenin		it one,.						
AESLIFE=2		3=Required inpat	tient or prolo			v				
AESHOSP=3		5=Congenital and 6=Required inter	omaly/birth d	efect	, ,	' AESDIS	SAB=4	1		
AESHOSP=3		7=Suicidal ideation	on with a pla	n		AESCO	NG=5	5		
_		9=Homicidal idea 10=Homicidal atte			isit		1	M=AESE		- DIOLIO
_	2c.	Onset date:/	/_		(mm/dd/yyyy)	STDTC		R=AESE	ERMINATION OF SE Q	:RIOUS
_	2d.	Study Intervention (QNAN	———— А Е Л=AEINT	SIDIC				
_		1=Pharmacologic 2=Behavioral			EL= STUDY I					
		[Note: section descri		medicatio	n removed. The	ere is no study i	nedicati	on for this	protocol.]	
		PARTICIPANT DET								
	За.	Race/Ethnicity (Sele 1=Caucasian	•		AM=AEETHNI ABEL=RACE/E		SAE PA	AGE)		
_		2=African Americ 3=Native Hawaiia 4=Native America	n or Pacific I	slander V	AR=AESEQ			·		
_		5=Hispanic/Latino	QNAM=	AEETH	OTH	OTHER CR	CIEV			
_		6=Asian 8=Other (Specify		L=RACE AESEQ	E/ETHNICITY	——————————————————————————————————————	CIFY)		
_	3b.	Date of Birth:	//_		_ (mm/dd/yyyy)			M=AEDC)B 'E OF BIRTH (SAE PA	AGE)
_	3c.	OCA.	M=AESE)		105)			R=AESE	\	40L)
		2-Famala	BEL=SEX R=AESE		AGE)					
	4.	DESCRIPTION OF			ENT		J			
			DOMAIN	1: CO						
COVAL RDOMAIN=AE										
			DVAR=							

COREF=SAE PAGE ONE

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SAE FORM DOMAIN: AE PAGE 2 OF 4

CTP - Site ID	Participant ID	Assessment Date	,	r		, ,	٩ED	TC	;
	<u> USUBJID</u>	Assessment Date:	 <u> </u>		'	' _		у	

YES	NO		Medical/Psychiatric Histo	ry						
1	0	5a.	Psychotic episodes?		M=RELHIST					
1	0	5b.	Homicidal ideation?	QLABEL=RELEVANT HISTORY, MEDICAL/PSYCHIATRIC						
1	0	5c.	Homicidal/violent behavior	IDVAR=AESEQ QVAL=LABEL WHERE 'YES'						
1	0	5d.	Suicidal gesture/attempt?							
1	0	5e.	Suicidal ideation?							
1	0	5f.	Taking Neuroleptic Meds?	(If yes,	record in Concomitant Med	ications section	n, Q7)			
1	0	5g.	Other relevant history include	Other relevant history including preexisting medical and psychiatric conditions? (If yes, specify in Q5h)						
		5h.	Specify:							
YES	NO		Substance Use (in past 7	days)	QNAM=DRUGUSE					
1	0	6a.	Is there increased drug use? QLABEL=INCREASED DRUG USE IN PAST 7 DAYS							
1	0	6b.	Is there increased alcohol u	use? IDVAR=AESEQ						
		6c.	Describe drug/alcohol use:	ISE: QNAM=ALCUSE QLABEL=INCREASED ALCOHOL USE IN PAST 7 DAYS						
					IDVAR=AESEQ	ALCOHOL OC	SE IN FAST / DATS			
		6d.	QNAM=SUBUSESP -QLABEL=DESCRIBE Df IDVAR=AESEQ -Amount/Days of drug/alcoh							
			QNAM=AMTDAYS QLABEL=AMOUNT/DA IDVAR=AESEQ	AYS C	OF DRUG/ALCOHOL US	E				
						QNAM=REL QLABEL=RE IDVAR=AES	ELEVANT HISTORY, SPECIFY			

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SAE FORM CMC/	AT=SAE FORM	Л		DOMAIN: CM PAGE 3 OF 4					
CTP - Site IE —— •——-	nt ID BJID ——	Assess	d d y y y y						
					e participant or were there				
3. Concomitant Medica	tions		C	MSTF	RF				
(1)	(2)	(3)	(4)	(5)	(6)	(7)			
Medication Name CMGRPID	Dosage Quantity	Dosage Units	Start Date (mm/dd/yyyy) CMSTDTC	Cont'd 0=NO 1=YES	Stop Date (mm/dd/yyyy) CMENDTC	Notes			
CMTRT	CMDOSE (CMDOS	<u>J</u>	_	!!	DOMAIN: CO			
				_		COVAL RDOMAIN=CM			
		T		_	!!	IDVAR=CMSEC			
				_		CONCOMITANT			
		T	!!	_	!!	MEDICATIONS			
		T		_	!!				
		T		_					
ES NO 9. Were a	ny relevant lab tests per	formed? (Circ	le Yes or No. If YES, list I	ab tests b	elow If NO, go to questio	n 11.)			
). Relevant Lab Tests	LBCAT=SAE	FORM	DC	MAIN	I: LB				
(1) BGRPID _{Tests}	(2) Date (mm/dd/vyvy)		D-1-		(3) Value/Results	N	(4) Iormal Range	Dosage Units	
LBTEST LBDTC		LBORRES	NOT COLLECT ED		1 = grain(s) 2 = gram(s) 3 = international units				
	!!					4 = microcurie(s) 5 = microgram(s) 6 = microgram(s) / kilogram 7 = microgram(s) / sq. meter			
		ı				8 = microlitre(s)			

f. Report attached: __ (0=No, 1=Yes)

C.

d.

e.

8 = microlitre(s)
9 = millicurie(s)
10 = millicurie(s)
11 = milligram(s)
12 = milligram(s) / kilogram
13 = milligram(s) / sq. meter
14 = millililitre(s)

88 = Other 99= Unknown

